Food Processing Handbook

Edited by James G. Brennan



WILEY-VCH Verlag GmbH & Co. KGaA

Food Processing Handbook

Edited by James G. Brennan

Further of Interest

W. Pietsch

Agglomeration in Industry Occurrence and Applications

2004 ISBN 3-527-30582-3

K.J. Heller (Ed.)

Genetically Engineered Food Methods and Detection

2003 ISBN 3-527-30309-X

E. Ziegler, H. Ziegler (Eds.)

Handbook of Flavourings

Production, Composition, Applications, Regulations

Second, Completely Revised Edition

2006 ISBN 3-527-31406-7

J. N. Wintgens (Ed.)

Coffee: Growing, Processing, Sustainable Production

A Guidebook for Growers, Processors, Traders and Researchers

2005 ISBN 3-527-30731-1 G.-W. Oetjen

Freeze-Drying

Second, Completely Revised Edition

2004 ISBN 3-527-30620-X

O.-G. Piringer, A. L. Baner (Eds.)

Plastic Packaging Materials for Food and Pharmaceuticals

2007 ISBN 3-527-31455-5

K. Bauer, D. Garbe, H. Surburg

Common Fragrance and Flavor Materials

Preparation, Properties and Uses Fourth, Completely Revised Edition

2001 ISBN 3-527-30364-2

F. Müller (Ed.)

Agrochemicals

Composition, Production, Toxicology, Applications

2000 ISBN 3-527-29852-5

Food Processing Handbook

Edited by James G. Brennan



WILEY-VCH Verlag GmbH & Co. KGaA

Editor

James G. Brennan 16 Benning Way Wokingham Berks RG40 1 XX UK All books published by Wiley-VCH are carefully produced. Nevertheless, authors, editors, and publisher do not warrant the information contained in these books, including this book, to be free of errors. Readers are advised to keep in mind that statements, data, illustrations, procedural details or other items may inadvertently be inaccurate.

Library of Congress Card No.: applied for **British Library Cataloguing-in-Publication Data:** A catalogue record for this book is available from the British Library.

Bibliographic information published by
Die Deutsche Bibliothek
Die Deutsche Bibliothek lists this publication

in the Deutsche Nationalbibliografie; detailed bibliographic data is available in the Internet at http://dnb.ddb.de

 $\ \, \textcircled{0}$ 2006 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim, Germany

All rights reserved (including those of translation in other languages). No part of this book may be reproduced in any form – by photoprinting, microfilm, or any other means – nor transmitted or translated into a machine language without written permission from the publishers. Registered names, trademarks, etc. used in this book, even when not specifically marked as such, are not to be considered unprotected by law.

Typesetting K+V Fotosatz GmbH, Beerfelden
Printing Strauss GmbH, Mörlenbach
Binding Litges & Dopf Buchbinderei GmbH,
Heppenheim

Printed in the Federal Republic of Germany

Printed on acid-free paper

ISBN-13: 978-3-527-30719-7 ISBN-10: 3-527-30719-2

Contents

Preface	XXI

List of Contributors XXIII

1	Postharvest Handling and Preparation of Foods for Processing 1 Alistair S. Grandison
1.1	Introduction 1
1.2	Properties of Raw Food Materials and Their Susceptibility to Deterioration and Damage 2
1.2.1	Raw Material Properties 3
1.2.1.1	Geometric Properties 3
1.2.1.2	Colour 4
1.2.1.3	Texture 5
1.2.1.4	Flavour 5
1.2.1.5	Functional Properties 5
1.2.2	Raw Material Specifications 6
1.2.3	Deterioration of Raw Materials 7
1.2.4	Damage to Raw Materials 7
1.2.5	Improving Processing Characteristics Through Selective Breeding
	and Genetic Engineering 8
1.3	Storage and Transportation of Raw Materials 9
1.3.1	Storage 9
1.3.1.1	Temperature 11
1.3.1.2	Humidity 12
1.3.1.3	Composition of Atmosphere 12
1.3.1.4	Other Considerations 13
1.3.2	Transportation 13
1.4	Raw Material Cleaning 14
1.4.1	Dry Cleaning Methods 14
1.4.2	Wet Cleaning Methods 18
1.4.3	Peeling 20
1.5	Sorting and Grading 21
1.5.1	Criteria and Methods of Sorting 21

۷I	Contents	
•	1.5.2	Grading 24
	1.6	Blanching 26
	1.6.1	Mechanisms and Purposes of Blanching 26
	1.6.2	Processing Conditions 27
	1.6.3	Blanching Equipment 28
	1.7	Sulphiting of Fruits and Vegetables 29
		References 30
	2	Thermal Processing 33
		Michael J. Lewis
	2.1	Introduction 33
	2.1.1	Reasons for Heating Foods 33
	2.1.2	Safety and Quality Issues 34
	2.1.3	Product Range 35
	2.2	Reaction Kinetics 36
	2.2.1	Microbial Inactivation 36
	2.2.2	Heat Resistance at Constant Temperature 36
	2.3	Temperature Dependence 39
	2.3.1	Batch and Continuous Processing 41
	2.3.2	Continuous Heat Exchangers 43
	2.4	Heat Processing Methods 48
	2.4.1 2.4.2	Thermisation 48 Pasteurisation 48
	2.4.2.1	HTST Pasteurisation 49
	2.4.2.1	Tunnel (Spray) Pasteurisers 53
	2.4.3	Sterilisation 53
	2.4.3.1	In-Container Processing 53
	2.4.3.2	UHT Processing 61
	2.4.3.3	Special Problems with Viscous and Particulate Products 67
	2.5	Filling Procedures 68
	2.6	Storage 68
		References 69
	3	Evaporation and Dehydration 71
	2.4	James G. Brennan
	3.1	Evaporation (Concentration, Condensing) 71
	3.1.1	General Principles 71
	3.1.2	Equipment Used in Vacuum Evaporation 73
	3.1.2.1	Vacuum Pans 73 Short Tube Vacuum Francestors 74
	3.1.2.2	Short Tube Vacuum Evaporators 74
	3.1.2.3	Long Tube Evaporators 75 Plate Evaporators 76
	3.1.2.4	
	3.1.2.5 3.1.2.6	Agitated Thin Film Evaporators 77 Centrifugal Evaporators 77
	3.1.2.7	Ancillary Equipment 78
	3.1.4./	memary Equipment 70

3.1.3	Multiple-Effect Evaporation (MEE) 78
3.1.4	Vapour Recompression 79
3.1.5	Applications for Evaporation 80
3.1.5.1	Concentrated Liquid Products 80
3.1.5.2	Evaporation as a Preparatory Step to Further Processing 82
3.1.5.3	The Use of Evaporation to Reduce Transport, Storage
	and Packaging Costs 83
3.2	Dehydration (Drying) 85
3.2.1	General Principles 85
3.2.2	Drying Solid Foods in Heated Air 86
3.2.3	Equipment Used in Hot Air Drying of Solid Food Pieces 88
3.2.3.1	Cabinet (Tray) Drier 88
3.2.3.2	Tunnel Drier 89
3.2.3.3	Conveyor (Belt) Drier 89
3.2.3.4	Bin Drier 90
3.2.3.5	Fluidised Bed Drier 90
3.2.3.6	Pneumatic (Flash) Drier 93
3.2.3.7	Rotary Drier 93
3.2.4	Drying of Solid Foods by Direct Contact With a Heated Surface 94
3.2.5	Equipment Used in Drying Solid Foods by Contact
	With a Heated Surface 95
3.2.5.1	Vacuum Cabinet (Tray or Shelf) Drier 95
3.2.5.2	Double Cone Vacuum Drier 95
3.2.6	Freeze Drying (Sublimation Drying, Lyophilisation)
	of Solid Foods 96
3.2.7	Equipment Used in Freeze Drying Solid Foods 97
3.2.7.1	Cabinet (Batch) Freeze Drier 97
3.2.7.2	Tunnel (SemiContinuous) Freeze Drier 98
3.2.7.3	Continuous Freeze Driers 99
3.2.7.4	Vacuum Spray Freeze Drier 99
3.2.8	Drying by the Application of Radiant (Infrared) Heat 100
3.2.9	Drying by the Application of Dielectric Energy 100
3.2.10	Osmotic Dehydration 102
3.2.11	Sun and Solar Drying 104
3.2.12	Drying Food Liquids and Slurries in Heated Air 105
3.2.12.1	Spray Drying 105
3.2.13	Drying Liquids and Slurries by Direct Contact
	With a Heated Surface 110
3.2.13.1	Drum (Roller, Film) Drier 110
3.2.13.2	Vacuum Band (Belt) Drier 112
3.2.14	Other Methods Used for Drying Liquids and Slurries 113
3.2.15	Applications of Dehydration 114
3.2.15.1	Dehydrated Vegetable Products 114
3.2.15.2	Dehydrated Fruit Products 116
3.2.15.3	Dehydrated Dairy Products 117

VIII	Contents	
	3.2.15.4	Instant Coffee and Tea 118
	3.2.15.5	Dehydrated Meat Products 118
	3.2.15.6	Dehydrated Fish Products 119
	3.2.16	Stability of Dehydrated Foods 119
		References 121
	4	Freezing 125
		Jose Mauricio Pardo and Keshavan Niranjan
	4.1	Introduction 125
	4.2	Refrigeration Methods and Equipment 125
	4.2.1	Plate Contact Systems 126
	4.2.3	Immersion and Liquid Contact Refrigeration 127
	4.2.4	Cryogenic freezing 127
	4.3	Low Temperature Production 127
	4.3.1	Mechanical Refrigeration Cycle 129
	4.3.1 2	The Real Refrigeration Cycle
		(Standard Vapour Compression Cycle) 131
	4.3.2	Equipment for a Mechanical Refrigeration System 132
	4.3.2.1	Evaporators 132
	4.3.2.2	Condensers 133
	4.3.2.3	Compressors 135
	4.3.2.4	Expansion Valves 135
	4.3.2.5	Refrigerants 136
	4.3.3	Common Terms Used in Refrigeration System Design 137
	4.3.3.1	Cooling Load 137
	4.3.3.2	Coefficient of Performance (COP) 137
	4.3.3.3	Refrigerant Flow Rate 138
	4.3.3.4	Work Done by the Compressor 138
	4.3.3.5	Heat Exchanged in the Condenser and Evaporator 138
	4.4	Freezing Kinetics 138
	4.4.1	Formation of the Microstructure During Solidification 140
	4.4.2	Mathematical Models for Freezing Kinetics 141 Neumann's Model 141
	4.4.2.1	Plank's Model 142
	4.4.2.2 4.4.2.3	Cleland's Model 142
	4.4.2.3	
	4.3	Effects of Refrigeration on Food Quality 143 References 144
		References 144
	5	Irradiation 147
		Alistair S. Grandison
	5.1	Introduction 147
	5.2	Principles of Irradiation 147
	5.2.1	Physical Effects 148
	5.2.2	Chemical Effects 152
	5.2.3	Biological Effects 153

5.3	Equipment 154
5.3.1	Isotope Sources 154
5.3.2	Machine Sources 157
5.3.3	Control and Dosimetry 159
5.4	Safety Aspects 160
5.5	Effects on the Properties of Food 160
5.6	Detection Methods for Irradiated Foods 162
5.7	Applications and Potential Applications 163
5.7.1	General Effects and Mechanisms of Irradiation 164
5.7.1.1	Inactivation of Microorganisms 164
5.7.1.2	Inhibition of Sprouting 166
5.7.1.3	Delay of Ripening and Senescence 166
5.7.1.4	Insect Disinfestation 166
5.7.1.5	Elimination of Parasites 167
5.7.1.6	Miscellaneous Effects on Food Properties and Processing 167
5.7.1.7	Combination Treatments 167
5.7.2	Applications to Particular Food Classes 167
5.7.2.1	Meat and Meat Products 167
5.7.2.2	Fish and Shellfish 169
5.7.2.3	Fruits and Vegetables 169
5.7.2.4	Bulbs and Tubers 170
5.7.2.5	Spices and Herbs 170
5.7.2.6	Cereals and Cereal Products 170
5.7.2.7	Other Miscellaneous Foods 170
	References 171
6	High Pressure Processing 173
	Margaret F. Patterson, Dave A. Ledward and Nigel Rogers
6.1	Introduction 173
6.2	Effect of High Pressure on Microorganisms 176
6.2.1	Bacterial Spores 176
6.2.2	Vegetative Bacteria 177
6.2.3	Yeasts and Moulds 177
6.2.4	Viruses 178
6.2.5	Strain Variation Within a Species 178
6.2.6	Stage of Growth of Microorganisms 178
6.2.7	Magnitude and Duration of the Pressure Treatment 179
6.2.8	Effect of Temperature on Pressure Resistance 179
6.2.9	Substrate 179
6.2.10	Combination Treatments Involving Pressure 180
6.2.11	Effect of High Pressure on the Microbiological Quality of Foods 180
6.3	Ingredient Functionality 181
6.4	Enzyme Activity 183
6.5	Foaming and Emulsification 185

Contents	
6.6 6.7 6.8 6.8.1 6.8.2 6.9 6.9.1 6.9.2 6.10	Gelation 187 Organoleptic Considerations 189 Equipment for HPP 190 'Continuous' System 190 'Batch' System 191 Pressure Vessel Considerations 193 HP Pumps 194 Control Systems 195 Current and Potential Applications of HPP for Foods 195 References 197
7	Pulsed Electric Field Processing, Power Ultrasound and Other Emerging Technologies 201 Craig E. Leadley and Alan Williams
7.1	Introduction 201
7.1	Pulsed Electric Field Processing 203
7.2.1	Definition of Pulsed Electric Fields 203
7.2.2	Pulsed Electric Field Processing – A Brief History 203
7.2.3	Effects of PEF on Microorganisms 204
7.2.3.1	Electrical Breakdown 204
7.2.3.2	Electroporation 205
7.2.4	Critical Factors in the Inactivation of Microorganisms
	Using PEF 205
7.2.4.1	Process Factors 205
7.2.4.2	Product Factors 206
7.2.4.3	Microbial Factors 206
7.2.5	Effects of PEF on Food Enzymes 206
7.2.6	Basic Engineering Aspects of PEF 208
7.2.6.1	Pulse Shapes 208
7.2.6.2	Chamber Designs 210
7.2.7	Potential Applications for PEF 211
7.2.7.1	Preservation Applications 211
7.2.7.2	Nonpreservation Applications 212
7.2.8	The Future for PEF 213
7.3	Power Ultrasound 214
7.3.1	Definition of Power Ultrasound 214
7.3.2	Generation of Power Ultrasound 215
7.3.3	System Types 216
7.3.3.1	Ultrasonic Baths 216
7.3.3.2	Ultrasonic Probes 216
7.3.3.3	Parallel Vibrating Plates 217
7.3.3.4	Radial Vibrating Systems 217
7.3.3.5	Airborne Power Ultrasound Technology 217
7.3.4	Applications for Power Ultrasound in the Food Industry 218
7.3.4.1	Ultrasonically Enhanced Oxidation 218

7.3.4.2	Ultrasonic Stimulation of Living Cells 218
7.3.4.3	Ultrasonic Emulsification 220
7.3.4.4	Ultrasonic Extraction 220
7.3.4.5	Ultrasound and Meat Processing 220
7.3.4.6	Crystallisation 220
7.3.4.7	Degassing 221
7.3.4.8	Filtration 221
7.3.4.9	Drying 222
7.3.4.10	Effect of Ultrasound on Heat Transfer 222
7.3.5	Inactivation of Microorganisms Using Power Ultrasound 222
7.3.5.1	Mechanism of Ultrasound Action 222
7.3.5.2	Factors Affecting Cavitation 223
7.3.5.3	Factors Affecting Microbiological Sensitivity to Ultrasound 224
7.3.5.4	Effect of Treatment Medium 224
7.3.5.5	Combination Treatments 225
7.3.6	Effect of Power Ultrasound on Enzymes 227
7.3.7	Effects of Ultrasound on Food Quality 227
7.3.8	The Future for Power Ultrasound 228
7.4	Other Technologies with Potential 229
7.4.1	Pulsed Light 229
7.4.2	High Voltage Arc Discharge 230
7.4.3	Oscillating Magnetic Fields 230
7.4.4	Plasma Processing 230
7.4.5	Pasteurisation Using Carbon Dioxide 231
7.5	Conclusions 231
,	References 232
8	Baking, Extrusion and Frying 237
	Bogdan J. Dobraszczyk, Paul Ainsworth, Senol Ibanoglu
	and Pedro Bouchon
8.1	Baking Bread 237
8.1.1	General Principles 237
8.1.2	Methods of Bread Production 238
8.1.2.1	Bulk Fermentation 239
8.1.2.2	Chorleywood Bread Process 239
8.1.3	The Baking Process 242
8.1.3.1	Mixing 242
8.1.3.2	Fermentation (Proof) 242
8.1.3.3	Baking 243
8.1.4	Gluten Polymer Structure, Rheology and Baking 244
8.1.5	Baking Quality and Rheology 249
8.2	Extrusion 251
8.2.1	General Principles 251
8.2.1.1	The Extrusion Process 252
8.2.1.2	Advantages of the Extrusion Process 253

XII	Contents	
	8.2.2	Extrusion Equipment 254
	8.2.2.1	Single-Screw Extruders 255
	8.2.2.2	Twin-Screw Extruders 256
	8.2.2.3	Comparison of Single- and Twin-Screw Extruders 258
	8.2.3	Effects of Extrusion on the Properties of Foods 259
	8.2.3.1	Extrusion of Starch-Based Products 259
	8.2.3.2	Nutritional Changes 264
	8.2.3.3	Flavour Formation and Retention During Extrusion 267
	8.3	Frying 269
	8.3.1	General Principles 269
	8.3.1.1	The Frying Process 270
	8.3.1.2	Fried Products 270
	8.3.2	Frying Equipment 272
	8.3.2.1	Batch Frying Equipment 272
	8.3.2.2	Continuous Frying Equipment 272
	8.3.2.3	Oil-Reducing System 273
	8.3.3	Frying Oils 274
	8.3.4	Potato Chip and Potato Crisp Production 275
	8.3.4.1	Potato Chip Production 276
	8.3.4.2	Potato Crisp Production 277
	8.3.5	Heat and Mass Transfer During Deep-Fat Frying 278
	8.3.6	Modelling Deep-Fat Frying 279
	8.3.7	Kinetics of Oil Uptake 280
	8.3.8	Factors Affecting Oil Absorption 280
	8.3.9	Microstructural Changes During Deep-Fat Frying 281
		References 283
	9	Packaging 291
		James G. Brennan and Brian P.F. Day
	9.1	Introduction 291
	9.2	Factors Affecting the Choice of a Packaging Material
		and/or Container for a Particular Duty 292
	9.2.1	Mechanical Damage 292
	9.2.2	Permeability Characteristics 292
	9.2.3	Greaseproofness 294
	9.2.4	Temperature 294
	9.2.5	Light 295
	9.2.6	Chemical Compatibility of the Packaging Material and the Contents
		of the Package 295
	9.2.7	Protection Against Microbial Contamination 297
	9.2.8	In-Package Microflora 297
	9.2.9	Protection Against Insect and Rodent Infestation 297
	9.2.10	Taint 298
	9.2.11	Tamper-Evident/Resistant Packages 299
	9.2.12	Other Factors 299

9.3	Materials and Containers Used for Packaging Foods 300
9.3.1	Papers, Paperboards and Fibreboards 300
9.3.1.1	Papers 300
9.3.1.2	Paperboards 301
9.3.1.3	Moulded Pulp 302
9.3.1.4	Fibreboards 302
9.3.1.5	Composite Containers 303
9.3.2	Wooden Containers 303
9.3.3	Textiles 303
9.3.4	Flexible Films 304
9.3.4.1	Regenerated Cellulose 305
9.3.4.2	Cellulose Acetate 306
9.3.4.3	Polyethylene 306
9.3.4.4	Polyvinyl Chloride 306
9.3.4.5	Polyvinylidene Chloride 307
9.3.4.6	Polypropylene 307
9.3.4.7	Polyester 308
9.3.4.8	Polystyrene 308
9.3.4.9	Polyamides 308
9.3.4.10	Polycarbonate 309
9.3.4.11	Polytetrafluoroethylene 309
9.3.4.12	Ionomers 309
9.3.4.13	Ethylene-vinyl Acetate Copolymers 309
9.3.5	Metallised Films 310
9.3.6	Flexible Laminates 310
9.3.7	Heat-Sealing Equipment 311
9.3.8	Packaging in Flexible Films and Laminates 312
9.3.9	Rigid and Semirigid Plastic Containers 314
9.3.9.1	Thermoforming 314
9.3.9.2	Blow Moulding 315
9.3.9.3	Injection Moulding 315
9.3.9.4	Compression Moulding 315
9.3.10	Metal Materials and Containers 315
9.3.10.1	Aluminium Foil 316
9.3.10.2	Tinplate 316
9.3.10.3	Electrolytic Chromium-Coated Steel 319
9.3.10.4	Aluminium Alloy 319
9.3.10.5	Metal Containers 320
9.3.11	Glass and Glass Containers 322
9.4	Modified Atmosphere Packaging 325
9.5	Aseptic Packaging 329
9.6	Active Packaging 331
9.6.1	Background Information 331
9.6.2	Oxygen Scavengers 334
9.6.3	Carbon Dioxide Scavengers/Emitters 337

Contents	
9.6.4	Ethylene Scavengers 337
9.6.5	Ethanol Emitters 339
9.6.6	Preservative Releasers 340
9.6.7	Moisture Absorbers 341
9.6.8	Flavour/Odour Adsorbers 342
9.6.9	Temperature Control Packaging 343
9.6.10	Food Safety, Consumer Acceptability and Regulatory Issues 344
9.6.11	Conclusions 345
	References 346
10	Safety in Food Processing 351
	Carol A. Wallace
10.1	Introduction 351
10.1	Safe Design 351
10.2.1	Food Safety Hazards 352
10.2.1	Intrinsic Factors 354
10.2.2	
10.2.3	Food Processing Technologies 355
	Food Packaging Issues 355
10.3	Prerequisite Good Manufacturing Practice Programmes 355
10.3.1	Prerequisite Programmes – The Essentials 357
10.3.2	Validation and Verification of Prerequisite Programmes 361
10.4	HACCP, the Hazard Analysis and Critical Control Point
	System 362
10.4.1	Developing a HACCP System 362
10.4.2	Implementing and Maintaining a HACCP System 370
10.4.3	Ongoing Control of Food Safety in Processing 370
	References 371
11	Process Control In Food Processing 373
	Keshavan Niranjan, Araya Ahromrit and Ahok S. Khare
11.1	Introduction 373
11.1	Measurement of Process Parameters 373
11.2	Control Systems 374
	Manual Control 374
11.3.1	Automatic Control 376
11.3.2	
11.3.2.1	On/Off (Two Position) Controller 376
11.3.2.2	Proportional Controller 377
11.3.2.3	
	Proportional Integral Controller 378
11.3.2.4	Proportional Integral Derivative Controller 379
11.4	Proportional Integral Derivative Controller 379 Process Control in Modern Food Processing 380
11.4 11.4.1	Proportional Integral Derivative Controller 379 Process Control in Modern Food Processing 380 Programmable Logic Controller 381
11.4 11.4.1 11.4.2	Proportional Integral Derivative Controller 379 Process Control in Modern Food Processing 380 Programmable Logic Controller 381 Supervisory Control and Data Acquisition 381
11.4 11.4.1 11.4.2 11.4.3	Proportional Integral Derivative Controller 379 Process Control in Modern Food Processing 380 Programmable Logic Controller 381 Supervisory Control and Data Acquisition 381 Manufacturing Execution Systems 382
11.4 11.4.1 11.4.2	Proportional Integral Derivative Controller 379 Process Control in Modern Food Processing 380 Programmable Logic Controller 381 Supervisory Control and Data Acquisition 381

12	Environmental Aspects of Food Processing 385			
	Niharika Mishra, Ali Abd El-Aal Bakr and Keshavan Niranjan			
12.1	Introduction 385			
12.2	Waste Characteristics 386			
12.2.1	Solid Wastes 387			
12.2.2	Liquid Wastes 387			
12.2.3	Gaseous Wastes 387			
12.3	Wastewater Processing Technology 387			
12.4	Resource Recovery From Food Processing Wastes 388			
12.5	Environmental Impact of Packaging Wastes 389			
12.5.1	Packaging Minimisation 389			
12.5.2	Packaging Materials Recycling 390			
12.6	Refrigerents 392			
12.7	Energy Issues Related to Environment 394			
12.8	Life Cycle Assessment 396			
	References 397			
13	Water and Waste Treatment 399			
	R. Andrew Wilbey			
13.1	Introduction 399			
13.2	Fresh Water 399			
13.2.1	Primary Treatment 400			
13.2.2	Aeration 401			
13.2.3	Coagulation, Flocculation and Clarification 401			
13.2.4	Filtration 403			
13.2.5	Disinfection 406			
13.2.5.1	Chlorination 406			
13.2.5.2	Ozone 408			
13.2.6	Boiler Waters 409			
13.2.7	Refrigerant Waters 410			
13.3	Waste Water 410			
13.3.1	Types of Waste from Food Processing Operations 411			
13.3.2	Physical Treatment 412			
13.3.3	Chemical Treatment 413			
13.3.4	Biological Treatments 413			
13.3.4.1	Aerobic Treatment – Attached Films 414			
13.3.4.2	Aerobic Treatment – Suspended Biomass 417			
13.3.4.3	Aerobic Treatment – Low Technology 419			
13.3.4.4	Anaerobic Treatments 419			
13.3.4.5	Biogas Utilisation 424			
13.4	Sludge Disposal 425			
13.5	Final Disposal of Waste Water 425			
	References 426			

14	Separations in Food Processing 429			
4.4	James G. Brennan, Alistair S. Grandison and Michael J. Lewis			
14.1	Introduction 429			
14.1.1	Separations from Solids 430			
14.1.1.1	±			
14.1.1.2	1			
14.1.2	Separations From Liquids 430			
14.1.2.1	Liquid-Solid Separations 431			
14.1.2.2	Immiscible Liquids 431			
14.1.2.3	1 1			
14.1.3	Separations From Gases and Vapours 432			
14.2	Solid-Liquid Filtration 432			
14.2.1	General Principles 432			
14.2.2				
14.2.3				
14.2.4	1 1			
	Pressure Filters 435			
14.2.4.2				
14.2.4.3				
14.2.5	Applications of Filtration in Food Processing 442			
14.2.5.1	0			
14.2.5.2	Sugar Refining 442			
14.2.5.3				
14.2.5.4	<i>a b c c c c c c c c c c</i>			
14.3	Centrifugation 444			
14.3.1	General Principles 444			
14.3.1.1	Separation of Immiscible Liquids 444			
14.3.1.2	Separation of Insoluble Solids from Liquids 446			
14.3.2	Centrifugal Equipment 447			
14.3.2.1	Liquid-Liquid Centrifugal Separators 447			
14.3.2.2	Solid-Liquid Centrifugal Separators 448			
14.3.3	Applications for Centrifugation in Food Processing 450			
14.3.3.1	Milk Products 450			
14.3.3.2	Edible Oil Refining 451			
14.3.3.3	Beer Production 451			
14.3.3.4	Wine Making 451			
14.3.3.5	Fruit Juice Processing 451			
14.4	Solid-Liquid Extraction (Leaching) 452			
14.4.1	General Principles 452			
14.4.2	Extraction Equipment 455			
14.4.2.1	Single-Stage Extractors 455			
14.4.2.2	Multistage Static Bed Extractors 456			
14.4.2.3	Multistage Moving Bed Extractors 457			
14.4.3	Applications for Solid-Liquid Extraction in Food Processing 459			
14.4.3.1	Edible Oil Extraction 459			

14.4.3.2	Extraction of Sugar from Sugar Beet 459			
14.4.3.3	Manufacture of Instant Coffee 459			
14.4.3.4	Manufacture of Instant Tea 460			
14.4.3.5	Fruit and Vegetable Juice Extraction 460			
14.4.4	The Use of Supercritical Carbon Dioxide as a Solvent 460			
14.5	Distillation 462			
14.5.1	General Principles 462			
14.5.2	Distillation Equipment 466			
14.5.2.1	Pot Stills 466			
14.5.2.2	Continuous Distillation (Fractionating) Columns 466			
14.5.3	Applications of Distillation in Food Processing 467			
14.5.3.1	Manufacture of Whisky 467			
14.5.3.2	Manufacture of Neutral Spirits 469			
14.6	Crystallisation 471			
14.6.1	General Principles 471			
14.6.1.1	Crystal Structure 471			
14.6.1.2	The Crystallisation Process 471			
14.6.2	Equipment Used in Crystallisation Operations 475			
14.6.3	Food Industry Applications 476			
14.6.3.1	Production of Sugar 476			
14.6.3.2	Production of Salt 477			
14.6.3.3	Salad Dressings and Mayonnaise 477			
14.6.3.4	Margarine and Pastry Fats 477			
14.6.3.5	Freeze Concentration 477			
14.7	Membrane Processes 478			
14.7.1	Introduction 478			
14.7.2	Terminology 479			
14.7.3	Membrane Characteristics 480			
14.7.4	Flux Rate 481			
14.7.5	Transport Phenomena and Concentration Polarisation 481			
14.7.6	Membrane Equipment 483			
14.7.7	Membrane Configuration 483			
14.7.8	Safety and Hygiene Considerations 486			
14.7.9	Applications for Reverse Osmosis 488			
14.7.9.1	Milk Processing 488			
14.7.9.2	Other Foods 489			
14.7.10	Applications for Nanofiltration 489			
14.7.11	Applications for Ultrafiltration 490			
14.7.11.1	Milk Products 490			
14.7.11.2	Oilseed and Vegetable Proteins 492			
14.7.11.3	Animal Products 492			
14.7.12	Applications for Microfiltration 493			
14.8	Ion Exchange 495			
14.8.1	General Principles 495			
14.8.2	Ion Exchange Equipment 497			

XVIII	Contents	
•	14.8.3	Applications of Ion Exchange in the Food Industry 500
	14.8.3.1	
	14.8.3.2	e e e e e e e e e e e e e e e e e e e
	14.8.3.3	Protein Purification 502
	14.8.3.4	Other Separations 503
	14.8.4	Conclusion 504
	14.9	Electrodialysis 504
	14.9.1	General Principles and Equipment 504
	14.9.2	· · · · · · · · · · · · · · · · · · ·
		References 507
	15	Mixing, Emulsification and Size Reduction 513
		James G. Brennan
	15.1	Mixing (Agitation, Blending) 513
	15.1.1	Introduction 513
	15.1.2	7 1
		Paddle Mixer 515
	15.1.2.2	
	15.1.2.3	±
	15.1.3	Mixing of High Viscosity Liquids, Pastes and Plastic Solids 517
	15.1.3.1	
	15.1.3.2	(' '
	15.1.3.3	,
	15.1.3.4	
	15.1.3.5	
	15.1.4	Mixing Dry, Particulate Solids 520
	15.1.4.1	
	15.1.4.2	
	15.1.4.3	0
	15.1.4.4	
	15.1.5	Mixing of Gases and Liquids 523
	15.1.6	
	15.1.6.1	, I
		Viscous Materials 524
	15.1.6.3	
		Gases into Liquids 524
	15.2	Emulsification 524
	15.2.1	Introduction 524
	15.2.2	Emulsifying Agents 526
	15.2.3	Emulsifying Equipment 527
	15.2.3.1	Mixers 527
	15.2.3.2	Pressure Homogenisers 528
	15.2.3.3	Hydroshear Homogenisers 530
	15.2.3.4	Microfluidisers 530
	15.2.3.5	Membrane Homogenisers 530

15.2.3.6	Ultrasonic Homogenisers 530				
15.2.3.7	Colloid Mills 531				
15.2.4	Examples of Emulsification in Food Processing 532				
15.2.4.1	Milk 532				
15.2.4.2	Ice Cream Mix 533				
15.2.4.3	Cream Liqueurs 533				
15.2.4.4	Coffee/Tea Whiteners 533				
15.2.4.5	•				
15.2.4.6	e e e e e e e e e e e e e e e e e e e				
15.2.4.7	Cake Products 535				
15.2.4.8	Butter 535				
15.2.4.9	Margarine and Spreads 536				
15.3	Size Reduction (Crushing, Comminution, Grinding, Milling)				
	of Solids 537				
15.3.1	Introduction 537				
15.3.2	Size Reduction Equipment 540				
15.3.2.1					
	Equipment 540				
15.3.2.2	Roller Mills (Crushing Rolls) 541				
15.3.2.3	Impact (Percussion) Mills 544				
15.3.2.4	Attrition Mills 546				
15.3.2.5	Tumbling Mills 548				
15.3.3	Examples of Size Reduction of Solids in Food Processing 550				
15.3.3.1					
15.3.3.2	Chocolate 552				
15.3.3.3	Coffee Beans 554				
15.3.3.4	Oil Seeds and Nuts 554				
15.3.3.5	Sugar Cane 555				
	References 556				

Subject Index 559

Preface

There are many excellent texts available which cover the fundamentals of food engineering, equipment design, modelling of food processing operations etc. There are also several very good works in food science and technology dealing with the chemical composition, physical properties, nutritional and microbiological status of fresh and processed foods. This work is an attempt to cover the middle ground between these two extremes. The objective is to discuss the technology behind the main methods of food preservation used in today's food industry in terms of the principles involved, the equipment used and the changes in physical, chemical, microbiological and organoleptic properties that occur during processing. In addition to the conventional preservation techniques, new and emerging technologies, such as high pressure processing and the use of pulsed electric field and power ultrasound are discussed. The materials and methods used in the packaging of food, including the relatively new field of active packaging, are covered. Concerns about the safety of processed foods and the impact of processing on the environment are addressed. Process control methods employed in food processing are outlined. Treatments applied to water to be used in food processing and the disposal of wastes from processing operations are described.

Chapter 1 covers the postharvest handling and transport of fresh foods and preparatory operations, such as cleaning, sorting, grading and blanching, applied prior to processing. Chapters 2, 3 and 4 contain up-to-date accounts of heat processing, evaporation, dehydration and freezing techniques used for food preservation. In Chapter 5, the potentially useful, but so far little used process of irradiation is discussed. The relatively new technology of high pressure processing is covered in Chapter 6, while Chapter 7 explains the current status of pulsed electric field, power ultrasound, and other new technologies. Recent developments in baking, extrusion cooking and frying are outlined in Chapter 8. Chapter 9 deals with the materials and methods used for food packaging and active packaging technology, including the use of oxygen, carbon dioxide and ethylene scavengers, preservative releasers and moisture absorbers. In Chapter 10, safety in food processing is discussed and the development, implementation and maintenance of HACCP systems outlined. Chapter 11 covers the various types of control systems applied in food processing. Chapter 12 deals with envi-

ronmental issues including the impact of packaging wastes and the disposal of refrigerants. In Chapter 13, the various treatments applied to water to be used in food processing are described and the physical, chemical and biological treatments applied to food processing wastes are outlined. To complete the picture, the various separation techniques used in food processing are discussed in Chapter 14 and Chapter 15 covers the conversion operations of mixing, emulsification and size reduction of solids.

The editor wishes to acknowledge the considerable advice and help he received from former colleagues in the School of Food Biosciences, The University of Reading, when working on this project. He also wishes to thank his wife, Anne, for her support and patience.

Reading, August 2005

James G. Brennan

List of Contributors

Dr. Araya Ahromrit

Assistant Professor Department of Food Technology Khon Kaen University Khon Kaen 40002 Thailand

Professor Paul Ainsworth

Department of Food and Consumer Technology Manchester Metropolitan University Old Hall Lane Manchester, M14 6HR UK

Professor Dr. Ing. Ali Abd El-Aal Bakr

Food Science and Technology Department Faculty of Agriculture Minufiya University Shibin El-Kom A. R. Egypt

Dr. Pedro Bouchon

Departamento de Ingeniera Quimica y Bioprocesos Pontificia Universidad Católica de Chile Vicuña Mackenna 4860 Macul Santiago Chile

Mr. James G. Brennan (Editor)

16 Benning Way Wokingham Berkshire, RG40 1XX UK

Dr. Brian P.F. Day

Program Leader – Minimal Processing & Packaging Food Science Australia 671 Sneydes Road (Private Bag 16) Werribee Victoria 3030 Australia

Dr. Bogdan J. Dobraszczyk

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Dr. Alistair S. Grandison

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Dr. Senol Ibanoglu

Department of Food Engineering Gaziantep University Kilis Road 27310 Gaziantep Turkey

Dr. Ashok Khare

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP IJK

Mr. Craig E. Leadley

Campden & Chorleywood Food Research Association Food Manufacturing Technologies Chipping Campden Gloucestershire, GL55 6LD UK

Professor Dave A. Ledward

School of Food Biosciences The University of Reading Whiteknights Reading, RG6 6AP UK

Dr. Michael J. Lewis

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Mrs. Niharika Mishra

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Professor Keshavan Niranjan

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Dr. Jose Mauricio Pardo

Director Ingenieria de Produccion Agroindustrial Universidad de la Sabana A. A. 140013 Chia Columbia

Dr. Margaret F. Patterson

Queen's University, Belfast Department of Agriculture and Rural Development Agriculture and Food Science Center Newforge Lane Belfast, BT9 5PX Northern Ireland UK

Mr. Nigel Rogers

Avure Technologies AB Quintusvägen 2 Vasteras, SE 72166 Sweden

Mrs. Carol Anne Wallace

Principal Lecturer Food Safety Management Lancashire School of Health & Postgraduate Medicine University of Central Lancashire Preston, PR1 2HE UK

Mr. R. Andrew Wilbey

School of Food Biosciences The University of Reading P.O. Box 226 Whiteknights Reading, RG6 6AP UK

Dr. Alan Williams

Senior Technologist & HACCP **Specialist** Department of Food Manufacturing Technologies Campden & Chorleywood Food Research Association Group Chipping Campden Gloucestershire, GL55 6LD UK

1

Postharvest Handling and Preparation of Foods for Processing

Alistair S. Grandison

1.1 Introduction

Food processing is seasonal in nature, both in terms of demand for products and availability of raw materials. Most crops have well established harvest times – for example the sugar beet season lasts for only a few months of the year in the UK, so beet sugar production is confined to the autumn and winter, yet demand for sugar is continuous throughout the year. Even in the case of raw materials which are available throughout the year, such as milk, there are established peaks and troughs in volume of production, as well as variation in chemical composition. Availability may also be determined by less predictable factors, such as weather conditions, which may affect yields, or limit harvesting. In other cases demand is seasonal, for example ice cream or salads are in greater demand in the summer, whereas other foods are traditionally eaten in the winter months, or even at more specific times, such as Christmas or Easter.

In an ideal world, food processors would like a continuous supply of raw materials, whose composition and quality are constant, and whose prices are predictable. Of course this is usually impossible to achieve. In practice, processors contract ahead with growers to synchronise their needs with raw material production. The aim of this chapter is to consider the properties of raw materials in relation to food processing, and to summarise important aspects of handling, transport, storage and preparation of raw materials prior to the range of processing operations described in the remainder of this book. The bulk of the chapter will deal with solid agricultural products including fruits, vegetables, cereals and legumes; although many considerations can also be applied to animal-based materials such as meat, eggs and milk.

1.2 Properties of Raw Food Materials and Their Susceptibility to Deterioration and Damage

The selection of raw materials is a vital consideration to the quality of processed products. The quality of raw materials can rarely be improved during processing and, while sorting and grading operations can aid by removing oversize, undersize or poor quality units, it is vital to procure materials whose properties most closely match the requirements of the process. Quality is a wide-ranging concept and is determined by many factors. It is a composite of those physical and chemical properties of the material which govern its acceptability to the 'user'. The latter may be the final consumer, or more likely in this case, the food processor. Geometric properties, colour, flavour, texture, nutritive value and freedom from defects are the major properties likely to determine quality.

An initial consideration is selection of the most suitable cultivars in the case of plant foods (or breeds in the case of animal products). Other preharvest factors (such as soil conditions, climate and agricultural practices), harvesting methods and postharvest conditions, maturity, storage and postharvest handling also determine quality. These considerations, including seed supply and many aspects of crop production, are frequently controlled by the processor or even the retailer.

The timing and method of harvesting are determinants of product quality. Manual labour is expensive, therefore mechanised harvesting is introduced where possible. Cultivars most suitable for mechanised harvesting should mature evenly producing units of nearly equal size that are resistant to mechanical damage. In some instances, the growth habits of plants, e.g. pea vines, fruit trees, have been developed to meet the needs of mechanical harvesting equipment. Uniform maturity is desirable as the presence of over-mature units is associated with high waste, product damage, and high microbial loads, while under-maturity is associated with poor yield, hard texture and a lack of flavour and colour. For economic reasons, harvesting is almost always a 'once over' exercise, hence it is important that all units reach maturity at the same time. The prediction of maturity is necessary to coordinate harvesting with processors' needs as well as to extend the harvest season. It can be achieved primarily from knowledge of the growth properties of the crop combined with records and experience of local climatic conditions. The 'heat unit system', first described by Seaton [1] for peas and beans, can be applied to give a more accurate estimate of harvest date from sowing date in any year. This system is based on the premise that growth temperature is the overriding determinant of crop growth. A base temperature, below which no growth occurs, is assumed and the mean temperature of each day through the growing period is recorded. By summing the daily mean temperatures minus base temperatures on days where mean temperature exceeds base temperature, the number of 'accumulated heat units' can be calculated. By comparing this with the known growth data for the particular cultivar, an accurate prediction of harvest date can be computed. In addition, by allowing