

Beginning XML

4th Edition

David Hunter,
Jeff Rafter,
Joe Fawcett,
Eric van der Vlist,
Danny Ayers,
Jon Duckett,
Andrew Watt, and
Linda McKinnon



Wiley Publishing, Inc.

Beginning XML

4th Edition

Beginning XML

4th Edition

David Hunter,
Jeff Rafter,
Joe Fawcett,
Eric van der Vlist,
Danny Ayers,
Jon Duckett,
Andrew Watt, and
Linda McKinnon



Wiley Publishing, Inc.

Beginning XML, 4th Edition

Published by
Wiley Publishing, Inc.
10475 Crosspoint Boulevard
Indianapolis, IN 46256
www.wiley.com

Copyright © 2007 by Wiley Publishing, Inc., Indianapolis, Indiana

Published simultaneously in Canada

ISBN: 978-0-470-11487-2

Manufactured in the United States of America

10 9 8 7 6 5 4 3 2 1

Library of Congress Cataloging-in-Publication Data:

Beginning XML / David Hunter ... [et al.]. -- 4th ed.
p. cm.

ISBN 978-0-470-11487-2 (paper/website)

1. XML (Document markup language) I. Hunter, David, 1974 May 7-
QA76.76.H94B439 2007

006.7'4--dc22

2007006580

No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning or otherwise, except as permitted under Sections 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the Publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923, (978) 750-8400, fax (978) 646-8600. Requests to the Publisher for permission should be addressed to the Legal Department, Wiley Publishing, Inc., 10475 Crosspoint Blvd., Indianapolis, IN 46256, (317) 572-3447, fax (317) 572-4355, or online at www.wiley.com/go/permissions.

LIMIT OF LIABILITY/DISCLAIMER OF WARRANTY: THE PUBLISHER AND THE AUTHOR MAKE NO REPRESENTATIONS OR WARRANTIES WITH RESPECT TO THE ACCURACY OR COMPLETENESS OF THE CONTENTS OF THIS WORK AND SPECIFICALLY DISCLAIM ALL WARRANTIES, INCLUDING WITHOUT LIMITATION WARRANTIES OF FITNESS FOR A PARTICULAR PURPOSE. NO WARRANTY MAY BE CREATED OR EXTENDED BY SALES OR PROMOTIONAL MATERIALS. THE ADVICE AND STRATEGIES CONTAINED HEREIN MAY NOT BE SUITABLE FOR EVERY SITUATION. THIS WORK IS SOLD WITH THE UNDERSTANDING THAT THE PUBLISHER IS NOT ENGAGED IN RENDERING LEGAL, ACCOUNTING, OR OTHER PROFESSIONAL SERVICES. IF PROFESSIONAL ASSISTANCE IS REQUIRED, THE SERVICES OF A COMPETENT PROFESSIONAL PERSON SHOULD BE SOUGHT. NEITHER THE PUBLISHER NOR THE AUTHOR SHALL BE LIABLE FOR DAMAGES ARISING HEREFROM. THE FACT THAT AN ORGANIZATION OR WEBSITE IS REFERRED TO IN THIS WORK AS A CITATION AND/OR A POTENTIAL SOURCE OF FURTHER INFORMATION DOES NOT MEAN THAT THE AUTHOR OR THE PUBLISHER ENDORSES THE INFORMATION THE ORGANIZATION OR WEBSITE MAY PROVIDE OR RECOMMENDATIONS IT MAY MAKE. FURTHER, READERS SHOULD BE AWARE THAT INTERNET WEBSITES LISTED IN THIS WORK MAY HAVE CHANGED OR DISAPPEARED BETWEEN WHEN THIS WORK WAS WRITTEN AND WHEN IT IS READ.

For general information on our other products and services please contact our Customer Care Department within the United States at (800) 762-2974, outside the United States at (317) 572-3993 or fax (317) 572-4002.

Trademarks: Wiley, the Wiley logo, Wrox, the Wrox logo, Programmer to Programmer, and related trade dress are trademarks or registered trademarks of John Wiley & Sons, Inc. and/or its affiliates, in the United States and other countries, and may not be used without written permission. All other trademarks are the property of their respective owners. Wiley Publishing, Inc., is not associated with any product or vendor mentioned in this book.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

I would like to thank God, for continuing to give me opportunities to do what I love; my church family, for giving me more support than I deserve; and Andrea, for giving me more support than anyone deserves.
I would also like to thank the editors, for their constant help.
Their dedication to the quality of this book was a major factor in its success.
—David

To Ali and Jude, for their loving patience.
—Jeff

To my two brothers, Peter and Stephen, who have both helped me in my life and career in their own ways, many thanks.
—Joe

To my wife, Catherine, and children, Deborah, David, Samuel, and Sarah, for their patience and support while I am busy writing books.
—Eric

To my late grandmother, Mona Cartledge, who once gave me a Commodore Pet.
—Danny

About the Authors

David Hunter is a Senior Technical Consultant for CGI, a full-service IT and business process services partner. Providing technical leadership and guidance for solving his clients' business problems, he is a jack-of-all-trades and master of some. With a career that has included design, development, support, training, writing, and other roles, he has had extensive experience building scalable, reliable, enterprise-class applications. David loves to peek under the hood at any new technology that comes his way, and when one catches his fancy, he really gets his hands dirty. He loves nothing more than sharing these technologies with others.

Jeff Rafter is an independent consultant based in Redlands, California. His focus is on emerging technology and web standards, including XML and validation. He currently works with Baobab Health Partnership with a focus on improving world health.

Joe Fawcett (<http://joe.fawcett.name>) started programming in the 1970s and worked briefly in IT when leaving full-time education. He then pursued a more checkered career before returning to software development in 1994. In 2003 he was awarded the title of Microsoft Most Valuable Professional in XML for community contributions and technical expertise; he has subsequently been re-awarded every year since. Joe currently works in London and is head of software development for FTC Kaplan Ltd., a leading international provider of accountancy and business training.

Eric van der Vlist is an independent consultant and trainer. His domains of expertise include web development and XML technologies. He is the creator and main editor of XMLfr.org, the main site dedicated to XML technologies in French, the lead author of *Professional Web 2.0 Programming*, the author of the O'Reilly animal books *XML Schema* and *RELAX NG* and a member of the ISO DSDL (<http://dSDL.org>) working group focused on XML schema languages. He is based in Paris and can be reached at vdv@dyomedeia.com, or meet him at one of the many conferences where he presents his projects.

Danny Ayers is a freelance developer and consultant specializing in cutting-edge web technologies. His blog (<http://dannyayers.com>) tends to feature material relating to the Semantic Web and/or cat photos.

Linda McKinnon has more than 10 years of experience as a successful trainer and network engineer, assisting both private and public enterprises in network architecture design, implementation, system administration, and RFP procurement. She is a renowned mentor and has published numerous Linux study guides for Wiley Press and Gearhead Press.

Credits

Senior Acquisitions Editor

Jim Minatel

Development Editors

Sara Shlaer
Lisa Thibault

Technical Editor

Phred Menyherth

Production Editor

William A. Barton

Copy Editor

Luann Rouff

Editorial Manager

Mary Beth Wakefield

Production Manager

Tim Tate

Vice President and Executive Group Publisher

Richard Swadley

Vice President and Executive Publisher

Joseph B. Wikert

Graphics and Production Specialists

Brooke Graczyk
Denny Hager
Joyce Haughey
Jennifer Mayberry
Barbara Moore
Alicia B. South

Quality Control Technician

John Greenough

Project Coordinator

Lynsey Osborn

Media Development Specialists

Angie Denny
Kit Malone
Kate Jenkins
Steve Kudirkan

Proofreading

Aptara

Indexing

Broccoli Information Management

Anniversary Logo Design

Richard Pacifico

Acknowledgments

This book would not have been possible without the work of the many developers dedicated to improving the Web through standards. We would also like to thank the countless contributors to mailing lists, IRC channels, forums, and friends that have helped us through the difficult corners of the specifications and technologies presented in this book.

Thanks to Nicholas C. Zakas for his ideas and assistance in implementing the AutoSuggest Control. Many thanks to Phillip Pearson, who runs TopicExchange.com. He provided much-needed technical support that otherwise would have meant rewriting most of Chapter 14. We would also like to thank Jim Ley and Doug Schepers for their assistance on the case study and Chapter 19. Special thanks to our lead editor, Sara Shlaer, for her gentle and not so gentle persuasive powers and attention to detail; to editor Lisa Thibault, for her thoughtful assistance; and to Phred Menyhert, for a rigorous technical edit. Many thanks to our acquisitions editor, Jim Minatel, who has shepherded this book through many incarnations.

Contents

Acknowledgments	ix
Introduction	xxvii
Part I: Introduction	1
Chapter 1: What Is XML?	3
Of Data, Files, and Text	3
Binary Files	4
Text Files	5
A Brief History of Markup	6
So What Is XML?	7
What Does XML Buy Us?	10
HTML and XML: Apples and Red Delicious Apples	13
Hierarchies of Information	14
What's a Document Type?	17
No, Really — What's a Document Type?	18
Origin of the XML Standards	18
What Is the World Wide Web Consortium?	18
Components of XML	19
Where XML Can Be Used, and What You Can Use It For	20
Reducing Server Load	20
Website Content	20
Distributed Computing	21
e-Commerce	21
Summary	22
Exercise Questions	22
Question 1	22
Question 2	22
Chapter 2: Well-Formed XML	23
Parsing XML	24
Tags and Text and Elements, Oh My!	24
Rules for Elements	31

Contents

Attributes	39
When to Use Attributes	43
Comments	45
Empty Elements	49
XML Declarations	50
Version	51
Encoding	51
Standalone	53
Processing Instructions	56
Illegal PCDATA Characters	59
Escaping Characters	60
CDATA Sections	61
Errors in XML	64
Summary	64
Exercise Questions	65
Question 1	65
Question 2	65
Chapter 3: XML Namespaces	67
<hr/>	
Why We Need Namespaces	67
Using Prefixes	69
Why Doesn't XML Just Use These Prefixes?	70
How XML Namespaces Work	72
Default Namespaces	75
Do Different Notations Make Any Difference?	81
Namespaces and Attributes	83
Understanding URIs	86
URLs	86
URNs	87
Why Use URLs for Namespaces, Not URNs?	87
What Do Namespace URIs Really Mean?	88
RDDL	89
When to Use Namespaces	89
Summary	90
Exercise Questions	91
Question 1	91
Question 2	91
Question 3	91

Part II: Validation	93
Chapter 4: Document Type Definitions	95
Running the Samples	96
Preparing the Ground	96
The Document Type Declaration	100
Sharing Vocabularies	104
Anatomy of a DTD	105
Element Declarations	105
Attribute Declarations	120
Entities	131
Developing DTDs	141
DTD Limitations	142
DTD Syntax	142
XML Namespaces	143
Data Typing	143
Limited Content Model Descriptions	143
Summary	143
Exercise Questions	144
Question 1	144
Question 2	144
Question 3	144
Chapter 5: XML Schemas	145
Benefits of XML Schemas	146
XML Schemas Use XML Syntax	146
XML Schema Namespace Support	146
XML Schema Data Types	147
XML Schema Content Models	147
Do We Still Need DTDs?	147
XML Schemas	148
The XML Schema Document	148
Running the Samples	148
<schema> Declarations	152
<element> Declarations	155
<complexType> Declarations	165
<group> Declarations	167
Content Models	168
<attribute> Declarations	177
<attributeGroup> Declarations	183

Contents

Creating Elements with Simple Content and Attributes	185
Datatypes	186
<simpleType> Declarations	193
Creating a Schema from Multiple Documents	200
<import> Declarations	200
<include> Declarations	204
Documenting XML Schemas	206
Comments	206
Attributes from Other Namespaces	207
Annotations	208
Summary	209
Exercise Questions	210
Question 1	210
Question 2	210
Question 3	210
Chapter 6: RELAX NG	211
XML and Compact Syntaxes	212
Running the Samples	212
RELAX NG Patterns	213
Element, Attribute, and Text Patterns	213
Combining and Reusing Patterns and Grammars	227
Named Patterns	227
Combining Named Pattern Definitions	230
Schema Modularization Using the include Directive	231
Redefining Included Named Patterns	232
Removing Patterns with the notAllowed Pattern	233
Extensions and Restrictions	234
Nested Grammars	235
Additional RELAX NG Features	236
Namespaces	236
Name-Classes	237
Datatypes	241
List Patterns	243
Comments and Divisions	244
Useful Resources	245
Summary	245
Exercise Questions	245
Question 1	246
Question 2	246

Part III: Processing	247
Chapter 7: XPath	249
Ways of Looking at an XML Document	250
Modeling XML Documents	250
Visualizing XPath	251
Understanding Context	252
What Is a Node?	254
XPath 1.0 Types	257
Abbreviated and Unabbreviated Syntax	259
XPath 1.0 Axes	260
Child Axis	260
attribute Axis	262
ancestor Axis	264
ancestor-or-self Axis	265
descendant Axis	265
descendant-or-self Axis	266
following Axis	266
following-sibling Axis	268
namespace Axis	268
parent Axis	271
preceding Axis	271
preceding-sibling Axis	272
self Axis	273
XPath 1.0 Functions	274
Boolean Functions	274
Node-Set Functions	275
Numeric Functions	275
String Functions	276
Predicates	278
Structure of XPath Expressions	278
XPath 2.0	281
Revised XPath Data Model	281
W3C XML Schema Data Types	281
Additional XPath 2.0 Functions	282
XPath 2.0 Features	282
Summary	285
Exercise Questions	285
Question 1	286
Question 2	286

Chapter 8: XSLT	287
What Is XSLT?	287
Restructuring XML	288
Presenting XML Content	288
How an XSLT Processor Works	288
Running the Examples	289
Introducing the Saxon XSLT Processor	289
Installing the Saxon XSLT Processor	290
Procedural versus Declarative Programming	292
Procedural Programming	292
Declarative Programming	292
Foundational XSLT Elements	293
The <xsl:stylesheet> Element	295
The <xsl:template> Element	296
The <xsl:apply-templates> Element	296
Getting Information from the Source Tree	297
The <xsl:value-of> Element	297
The <xsl:copy> Element	299
The <xsl:copy-of> Element	303
Influencing the Output with the <xsl:output> Element	306
Conditional Processing	306
The <xsl:if> Element	306
The <xsl:choose> Element	308
The <xsl:for-each> Element	311
The <xsl:sort> Element	312
XSLT Modes	314
XSLT Variables and Parameters	320
Named Templates and the <xsl:call-template> Element	322
XSLT Functions	323
XSLT 2.0	323
Grouping in Version 2.0	324
Non-XML Input and String Handling	327
Multiple Outputs	330
User-Defined Functions	332
xsl:value-of changes	334
Summary	335
Exercise Questions	335
Question 1	335
Question 2	335

Part IV: Databases	337
Chapter 9: XQuery, the XML Query Language	339
Why XQuery?	340
Historical Factors	340
Technical Factors	340
Current Status	341
XQuery Tools	343
Saxon	343
X-Hive.com Online	345
X-Hive Database	346
Tamino Database	346
Microsoft SQL Server 2005	346
Oracle	346
Some XQuery Examples	346
Input Functions	346
Retrieving Nodes	348
Element Constructors	351
The XQuery Prolog	355
Computed Constructors	358
Syntax	359
The XQuery Data Model	360
Shared Data Model with XPath 2.0 and XSLT 2.0	360
Node Kinds	361
Sequences of Node-Sets	361
Document Order	361
Comparing Items and Nodes	361
Types in XQuery	361
Axes in XQuery	361
XQuery Expressions	362
FLWOR Expressions	362
XQuery Functions	368
The concat() Function	369
The count() Function	369
Using Parameters with XQuery	370
User-Defined Functions	371
Looking Ahead	372
Update Functionality	372
Full-Text Search	372
Summary	372

Contents

Exercise Questions	373
Question 1	373
Question 2	373
Chapter 10: XML and Databases	375
The Need for Efficient XML Data Stores	375
The Increasing Amount of XML	376
Comparing XML-Based Data and Relational Data	377
Approaches to Storing XML	378
Storing XML on File Systems	378
Using XML With Conventional Databases	379
Native XML Databases	381
Using Native XML Databases	382
Obtaining and Installing eXist	382
Interacting with eXist	384
XML in Commercial RDBMSs	395
XML Functionality in SQL Server 2000	395
Web Service Support	426
XML in Open Source RDBMS	426
Installing MySQL	426
Adding Information in MySQL	427
Querying MySQL	430
Updating XML in MySQL	435
Usability of XML in MySQL	436
Client-Side XML Support	437
Choosing a Database to Store XML	438
Looking Ahead	438
Summary	438
Exercise Questions	438
Question 1	439
Question 2	439
Question 3	439
Part V: Programming	441
Chapter 11: The XML Document Object Model (DOM)	443
Purpose of the XML DOM	443
Interfaces and Objects	445
The Document Object Model at the W3C	446
XML DOM Implementations	447

Two Ways to View DOM Nodes	448
Overview of the XML DOM	448
Tools to Run the Examples	450
Browser Differences	450
The Node Object	458
Properties of the Node Object	458
Methods of the Node Object	461
Loading an XML Document	462
The Effect of Text Nodes	468
The NamedNodeMap Object	471
The NodeList Object	475
The DOMException Object	476
The Document Interface	478
How the XML DOM Is Used in InfoPath 2007	481
Summary	482
Exercise Questions	482
Question 1	482
Question 2	482
Chapter 12: Simple API for XML (SAX)	483
<hr/>	
What Is SAX and Why Was It Invented?	483
A Brief History of SAX	484
Where to Get SAX	485
Setting Up SAX	486
Receiving SAX Events	486
ContentHandler Interface	487
ErrorHandler Interface	504
DTDHandler Interface	509
EntityResolver Interface	510
Features and Properties	510
Extension Interfaces	514
Good SAX and Bad SAX	515
Consumers, Producers, and Filters	516
Other Languages	516
Summary	517
Exercise Questions	518
Question 1	518
Question 2	518

Part VI: Communication **519**

Chapter 13: RSS, Atom, and Content Syndication **521**

Syndication and Meta Data	521
Syndication Systems	522
The Origin of RSS Species	525
RSS-DEV and RSS 1.0	529
UserLand and RSS 2.0	531
Atom	533
Working with News Feeds	536
Newsreaders	536
Data Quality	536
A Simple Aggregator	537
Modeling Feeds	537
Program Flow	540
Implementation	540
Transforming RSS with XSLT	557
Useful Resources	567
Summary	568
Exercise Questions	568
Question 1	569
Question 2	569

Chapter 14: Web Services **571**

What Is an RPC?	571
RPC Protocols	573
DCOM	573
IIOP	574
Java RMI	575
The New RPC Protocol: Web Services	575
XML-RPC	576
The Network Transport	579
Taking a REST	596
The Web Services Stack	600
SOAP	600
WSDL	601
UDDI	602
Surrounding Specifications	602
Summary	604

Exercise Questions	605
Question 1	605
Question 2	605
Chapter 15: SOAP and WSDL	607
Laying the Groundwork	608
Running Examples in Windows 2003, XP, and 2000	608
The New RPC Protocol: SOAP	608
Just RESTing	612
Basic SOAP Messages	613
More Complex SOAP Interactions	620
Defining Web Services: WSDL	632
<definitions>	633
<types>	633
<messages>	634
<portTypes>	635
<binding>	635
<soap:body>	637
<service>	638
Other Bindings	641
Summary	644
Exercise Questions	644
Question 1	644
Question 2	644
Chapter 16: Ajax	645
Early Attempts at Asynchronous Updates	645
Microsoft versus Mozilla	647
Cross-Browser Solutions	647
Basic Posting Techniques	649
Transport and Processing on the Server	652
JSON	652
Payment Card Validator	653
The AutoSuggest Box	658
Server-Side Proxies	681
The Currency Converter Proxy	682
Summary	686
Exercise Questions	687
Question 1	687
Question 2	687
Question 3	687

Part VII: Display **689**

Chapter 17: Cascading Style Sheets (CSS) **691**

Why Stylesheets?	692
Introducing CSS	693
CSS Properties	694
Inheritance	695
Attaching the Stylesheet to an XML Document	699
Selectors	700
Using CSS for Layout of XML Documents	701
Understanding the Box Model	702
Positioning in CSS	706
Laying Out Tabular Data	719
Links in XML Documents	721
XLink Support in Firefox	721
Forcing Links Using the XHTML Namespace	725
Images in XML Documents	725
Using CSS to Add Content to Documents	726
Attribute Content	729
Attribute Selectors	729
Using Attribute Values in Documents	729
Summary	732
Exercise Questions	732
Question 1	732
Question 2	733
Question 3	733
Question 4	733

Chapter 18: XHTML **735**

Separating Style from Content	736
Learning XHTML 1.x	738
Document Type Definitions for XHTML	738
Basic Changes in Writing XHTML	740
Styling XHTML Documents	751
Stricter Documents Make Faster and Lighter Processors	753
XHTML Tools	753
Validating XHTML Documents	754
Validation Pitfalls	756
Mime Types Pitfalls	757

Modularized XHTML	759
Module Implementations	761
XHTML 1.1	761
XHTML Basic	762
What's Next for XHTML	763
Summary	765
Exercise Questions	766
Question 1	766
Question 2	766
Chapter 19: Scalable Vector Graphics (SVG)	767
<hr/>	
What Is SVG?	767
Scalable, Vector, Graphics	768
Putting SVG to Work	769
An SVG Toolkit	769
Getting Started	771
Views and Units	774
The Painter's Model	774
Grouping	776
Transformations	776
Paths	777
Images	780
Text	781
Comments, Annotation, and Metadata	782
Scripting	784
SVG on Your Website	785
Tangram: A Simple Application	786
XHTML Wrapper	787
SVG Shapes	788
Tangram Script	792
Useful Resources	799
Summary	800
Exercise Questions	800
Question 1	800
Question 2	801
Chapter 20: XForms	803
<hr/>	
How XForms Improves on HTML Forms	804
XForms Tools	804
An Illustrative XForms Example	810

Contents

XForms Form Controls	817
The xforms:input Element	817
The xforms:secret Element	818
The xforms:textarea Element	818
The xforms:output Element	818
The xforms:upload Element	819
The xforms:range Element	819
The xforms:trigger Element	820
The xforms:submit Element	820
The xforms:select Element	821
The xforms:select1 Element	822
Constraining XForms Instances	828
The xforms:bind Element	828
W3C XML Schema in XForms	833
Schema or Bind Elements: Which One to Choose?	834
XForms Events	834
The XForms Action Module	835
Developing and Debugging XForms	836
Alternatives to XForms	836
Microsoft InfoPath	836
Adobe LiveCycle	837
HTML Forms	838
Summary	838
Exercise Questions	838
Question 1	838
Question 2	838
Part VIII: Case Study	839
Chapter 21: Case Study: Payment Calculator	841
<hr/>	
Mortgage Calculations	841
What You'll Need	842
Online Loan Calculator	842
Integrating the Calculation Web Service	849
Enhancing the Display with SVG	865
Adding the Frame to the Main Page	868
Summary	872
Chapter 22: Case Study: Payment Calculator — Ruby on Rails	Online
<hr/>	

Appendix A: Exercise Solutions	873
Appendix B: XPath Reference	923
Appendix C: XSLT Reference	939
Appendix D: The XML Document Object Model	Online
Appendix E: XML Schema Element and Attribute Reference	Online
Appendix F: XML Schema Datatypes Reference	Online
Appendix G: SAX 2.0.2 Reference	Online
Index	971

Introduction

Welcome to *Beginning XML, Fourth Edition*, the book I wish I'd had when I was first learning the language!

When we wrote the first edition of this book, XML was a relatively new language but already gaining ground fast and becoming more and more widely used in a vast range of applications. By the time we started the second edition, XML had already proven itself to be more than a passing fad, and was in fact being used throughout the industry for an incredibly wide range of uses. As we began the third edition, it was clear that XML was a mature technology, but more important, it became evident that the XML landscape was dividing into several areas of expertise. In this edition, we needed to categorize the increasing number of specifications surrounding XML, which either use XML or provide functionality in addition to the XML core specification.

So what is XML? It's a markup language, used to describe the structure of data in meaningful ways. Anywhere that data is input/output, stored, or transmitted from one place to another, is a potential fit for XML's capabilities. Perhaps the most well-known applications are web-related (especially with the latest developments in handheld web access—for which some of the technology is XML-based). However, there are many other non-web-based applications for which XML is useful—for example, as a replacement for (or to complement) traditional databases, or for the transfer of financial information between businesses. News organizations, along with individuals, have also been using XML to distribute syndicated news stories and blog entries.

This book aims to teach you all you need to know about XML—what it is, how it works, what technologies surround it, and how it can best be used in a variety of situations, from simple data transfer to using XML in your web pages. It answers the fundamental questions:

- What is XML?
- How do you use XML?
- How does it work?
- What can you use it *for*, anyway?

Who Is This Book For?

This book is for people who know that it would be a pretty good idea to learn XML but aren't 100 percent sure why. You've heard the hype but haven't seen enough substance to figure out what XML is and what it can do. You may be using development tools that try to hide the XML behind user interfaces and scripts, but you want to know what is really happening behind the scenes. You may already be somehow involved in web development and probably even know the basics of HTML, although neither of these qualifications is absolutely necessary for this book.

Introduction

What you don't need is knowledge of markup languages in general. This book assumes that you're new to the concept of markup languages, and we have structured it in a way that should make sense to the beginner and yet quickly bring you to XML expert status.

The word "Beginning" in the title refers to the style of the book, rather than the reader's experience level. There are two types of beginner for whom this book is ideal:

- ❑ Programmers who are already familiar with some web programming or data exchange techniques. Programmers in this category will already understand some of the concepts discussed here, but you will learn how you can incorporate XML technologies to enhance those solutions you currently develop.
- ❑ Those working in a programming environment but with no substantial knowledge or experience of web development or data exchange applications. In addition to learning how XML technologies can be applied to such applications, you will be introduced to some new concepts to help you understand how such systems work.

How This Book Is Organized

We've arranged the subjects covered in this book to take you from novice to expert in as logical a manner as we could. In this Fourth Edition, we have structured the book in sections that are based on various areas of XML expertise. Unless you are already using XML, you should start by reading the introduction to XML in Part I. From there, you can quickly jump into specific areas of expertise, or, if you prefer, you can read through the book in order. Keep in mind that there is quite a lot of overlap in XML, and that some of the sections make use of techniques described elsewhere in the book.

- ❑ We begin by explaining what exactly XML is and why the industry felt that a language like this was needed.
- ❑ After covering the *why*, the next logical step is the *how*, so we show you how to create well-formed XML.
- ❑ Once you understand the whys and hows of XML, you'll go on to some more advanced things you can do when creating your XML documents, to make them not only well formed, but valid. (And you'll learn what "valid" really means.)
- ❑ After you're comfortable with XML and have seen it in action, we unleash the programmer within and look at an XML-based programming language that you can use to transform XML documents from one format to another.
- ❑ Eventually, you will need to store and retrieve XML information from databases. At this point, you will learn not only the state of the art for XML and databases, but also how to query XML information using an SQL-like syntax called XQuery.
- ❑ XML wouldn't really be useful unless you could write programs to read the data in XML documents and create new XML documents, so we'll get back to programming and look at a couple of ways that you can do that.
- ❑ Understanding how to program and use XML within your own business is one thing, but sending that information to a business partner or publishing it to the Internet is another. You'll learn about technologies that use XML that enable you to send messages across the Internet, publish information, and discover services that provide information.