



The Respiratory System at a Glance

Third Edition

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with self-test



 **WILEY-BLACKWELL**

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Third edition

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Preface to third edition

The medical curriculum has become increasingly vertically integrated, with a much greater use of clinical examples and cases to help in the understanding of the relevance of the underlying basic science, and conversely use of basic science concepts to help in the understanding of the pathophysiology and treatment of disease. *The Respiratory System at a Glance* has been written to take account of this trend, and to integrate core aspects of basic science, pathophysiology and treatment into a single, easy to use revision aid. As such, it should be useful to medical students throughout their training, and also to other healthcare professions, including nursing.

As with other volumes in the *At a Glance* series, it is based around a two-page spread for each main topic, with figure and text complementing each other to give an overview of a topic at a glance. Case studies based on some of the most commonly encountered conditions are also provided, and can be used for both basic science and clinical study. Although primarily designed for revision, the book covers all the core elements of the respiratory system and its major diseases, and as such could be used as a main text in the first couple of years of the course. It is advised, however, that additional reference to more detailed

textbooks will aid deeper and wider understanding of the subject. This is particularly the case for the pathophysiological chapters, as a book of this length cannot hope to provide a complete guide to clinical practice.

The most notable change to this third edition is that the figures are now in colour, which should aid understanding. There are also new or expanded sections on topics such as public health and smoking, sarcoidosis and sleep-disordered breathing, additional case studies and self-assessment MCQs. Most of the other chapters and figures have been revised and updated. Hopefully, we have also corrected remaining errors found in the last edition. We have been greatly assisted in this by our many colleagues and students who have kindly advised us and commented on the contents, but any remaining errors and omissions are entirely our responsibility. We also thank all the staff at Wiley-Blackwell, without whom we would not have been able to produce this edition on time.

Jeremy P.T. Ward
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Units and symbols

Units

The medical profession and scientific community generally use SI (Système International) units.

Pressure conversion: SI unit of pressure: 1 pascal (Pa) = $1 \text{ N} \cdot \text{m}^{-2}$. As this is small, in medicine the kPa ($=10^3 \text{ Pa}$) is more commonly used. Note that millimetres of mercury (mmHg) are still the most common unit for expressing arterial and venous blood pressures, and low pressures – e.g. central venous pressure and intrapleural pressure – are sometimes expressed as centimetres of H_2O (cmH₂O). Blood gas partial pressures are reported by some laboratories in kPa and by some in mmHg, so you need to be familiar with both systems.

1 kPa = 7.5 mmHg = 10.2 cmH₂O

1 mmHg = 1 torr = 0.133 kPa = 1.36 cmH₂O

1 cmH₂O = 0.098 kPa = 0.74 mmHg

1 standard atmosphere ($\approx 1 \text{ bar}$) = 101.3 kPa = 760 mmHg = 1033 cmH₂O

Contents are still commonly expressed per 100 mL (dL⁻¹), and these need to be multiplied by 10 to give the more standard SI unit per litre.

Contents are also increasingly being expressed as mmol · L⁻¹.

For haemoglobin: $1 \text{ g} \cdot \text{dL}^{-1} = 10 \text{ g} \cdot \text{L}^{-1} = 0.062 \text{ mmol} \cdot \text{L}^{-1}$

For ideal gases (including oxygen and nitrogen): 1 mmol = 22.4 mL standard temperature and pressure dry (STPD; see Chapter 4)

For non-ideal gases, such as nitrous oxide and carbon dioxide: 1 mmol = 22.25 mL STPD

Standard symbols

Primary symbols

F = Fractional concentration of gas

C = Content of a gas in blood

V = Volume of a gas

P = Pressure of partial pressure

S = Saturation of haemoglobin with oxygen

Q = Volume of blood

A dot over a letter means a time derivative, e.g. \dot{V} = ventilation (L/min); \dot{Q} = blood flow (L/min)

Secondary symbols

Gas: I = Inspired gas

E = Expired gas

A = Alveolar gas

D = Dead-space gas

T = Tidal

B = Barometric

ET = End-tidal

Blood: a = Arterial

v = Venous

c = Capillary

A dash means mixed or mean

e.g. \bar{v} = Mixed venous

A' after a symbol means end

e.g. c' = End-capillary

Tertiary symbols

O₂ = Oxygen

CO₂ = Carbon dioxide

CO = Carbon monoxide

Examples

$\dot{V}\text{O}_2$ = Oxygen consumption

$P_A\text{CO}_2$ = Alveolar partial pressure of carbon dioxide

Typical values

Typical inspired, alveolar and blood gas values in healthy young adults are shown in the table below. Ranges are given for arterial blood gas values. Mean arterial P_{O_2} falls with age, and by 60 years is about 11 kPa/82 mmHg. Typical values for lung volumes and other lung function tests are given in the appropriate chapters. Ranges for many values are affected by age, sex and height, as well as by the method of measurement, and hence it is necessary to refer to appropriate nomograms.

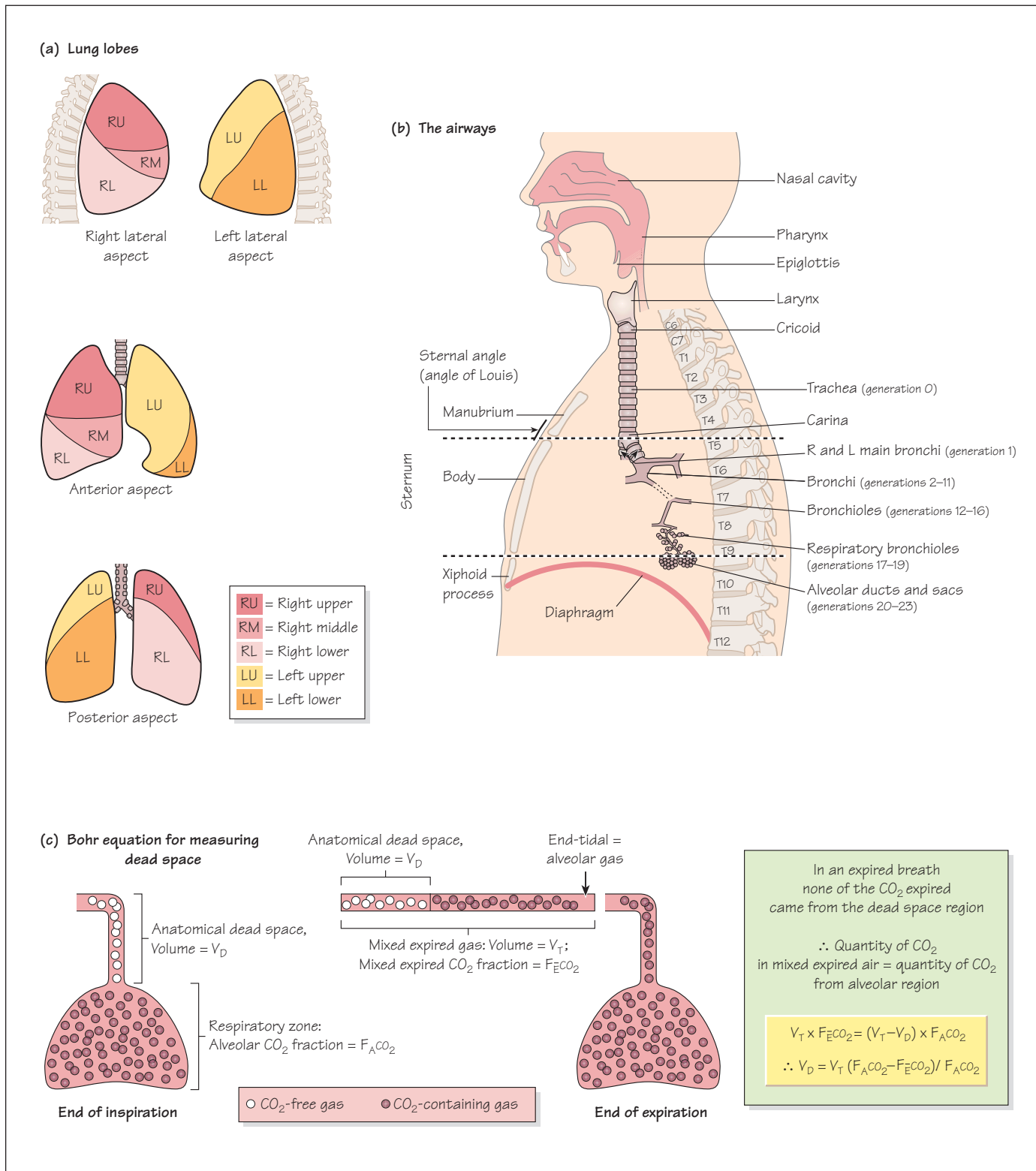
Inspired P_{O_2} (dry, sea level)	21 kPa	159 mmHg
Alveolar P_{O_2}	13.3 kPa	100 mmHg
Arterial P_{O_2}	12.5 (11.2–13.9) kPa	94 (84–104) mmHg
A–a P_{O_2} gradient	<2 kPa	<15 mmHg (greater in elderly)
Arterial oxygen saturation	>97%	
Arterial oxygen content	200 mL · L ⁻¹	20 mL · dL ⁻¹
Inspired P_{CO_2}	0.03 kPa	0.2 mmHg
Alveolar P_{CO_2}	5.3 (4.7–6.1) kPa	40 (35–45) mmHg
Arterial P_{CO_2}	5.3 (4.7–6.1) kPa	40 (35–45) mmHg
Arterial CO ₂ content	480 mL · L ⁻¹	48 mL · dL ⁻¹
Arterial [H ⁺]/pH	36–44 nmol · L ⁻¹	7.44–7.36
Resting mixed venous P_{O_2}	5.3 kPa	40 mmHg
Resting mixed venous O ₂ content	150 mL · L ⁻¹	15 mL · dL ⁻¹
Resting mixed venous O ₂ saturation	75%	
Resting mixed venous P_{CO_2}	6.1 kPa	46 mmHg
Resting mixed venous CO ₂ content	520 mL · L ⁻¹	52 mL · dL ⁻¹
Arterial [HCO ₃ ⁻]	24 (21–27) mM	

List of abbreviations

A–a gradient	(A–a P_{O_2}) gradient, the difference between ideal alveolar and arterial P_{O_2}	DVT	deep venous thrombosis
AAT	α_1 -antitrypsin	EBV	Epstein–Barr virus
AHI	apnoea plus hypopnoea index	ECG	electrocardiogram
AIDS	acquired immune deficiency syndrome	ECMO	extracorporeal membrane oxygenation
AIP	acute interstitial pneumonia/pneumonitis (Hamman–Rich syndrome)	ECP	eosinophil cationic protein
ALI	acute lung injury	EEG	electroencephalogram
ANA	anti-nuclear antibody	EGF	epidermal growth factor
ANCA	anti-neutrophil cytoplasmic antibody	ELISA	enzyme-linked immunoassay
AP	anterior–posterior	EMG	electromyogram
ARDS	acute (formerly adult) respiratory distress syndrome	EOG	electrooculogram
ATPS	ambient temperature and pressure saturated	ERV	expiratory reserve volume
ATS	American Thoracic Society (guidelines)	ESR	erythrocyte sedimentation rate
BAL	bronchoalveolar lavage	FDG	fluorodeoxyglucos
BALT	bronchus-associated lymphoid tissue	FDG PET	fluorodeoxyglucos positron emission tomography
BCG	bacille Calmette–Guérin	FEF_{25–75}	mean forced expiratory flow over middle 50% of forced vital capacity
BiPAP	bilevel positive airway pressure, biphasic positive airway pressure	FER	forced expiratory ratio
BP	blood pressure	FEV₁	forced expiratory volume in 1 second
BTPS	body temperature and pressure saturated	FEV₁/FVC	FEV ₁ expressed as a fraction, or more usually a percentage of FVC (= FER)
BTS	British Thoracic Society (guidelines)	FGF	fibroblast growth factor
CA	carbonic anhydrase	FRC	functional residual capacity
cAMP	cyclic adenosine monophosphate	FVC	forced vital capacity
CAP	community-acquired pneumonia	GBM	glomerular basement membrane
CCF	congestive cardiac failure	GM-CSF	granulocyte macrophage colony-stimulating factor
CF	cystic fibrosis	GU	genitourinary
CFA	cryptogenic fibrosing alveolitis	HAART	highly active antiretroviral therapy
CFTR	cystic fibrosis transmembrane conductance regulator	HAP	hospital acquired pneumonia
C_L	lung compliance = $\Delta V/\Delta P$, where P = alveolar – intrapleural pressure	HCAP	healthcare-associated pneumonia
CMV	controlled mechanical ventilation	HIV	human immunodeficiency virus
CMV	cytomegalovirus	HR	heart rate
CNS	central nervous system	HRCT	high-resolution computed tomography
COAD	chronic obstructive airway disease (synonymous with COPD, COLD)	ICU	intensive care unit
COLD	chronic obstructive lung disease (synonymous with COAD, COPD)	IFN-γ	interferon- γ
COPD	chronic obstructive pulmonary disease (synonymous with COAD, COLD)	Ig	immunoglobulin, e.g. IgA, IgE, IgG and IgM
COX	cyclooxygenase	IL	interleukin, e.g. IL-10
CPAP	continuous positive airway pressure	ILD	interstitial lung disease
CREST	calcinosis, Raynaud's phenomenon, esophageal involvement, sclerodactyly and telangiectasia	INPV	intermittent negative pressure ventilation
CSA	central sleep apnoea	IPF	idiopathic pulmonary fibrosis (synonymous with CFA)
CSF	cerebrospinal fluid	IPPV	intermittent positive pressure breathing
CT	computed tomography	IRV	inspiratory reserve volume
CTPA	computed tomography pulmonary angiogram	IVC	inferior vena cava
CWP	coal worker's pneumoconiosis	JVP	jugular venous pressure
CXR	chest X-ray	Kco	D_LCO divided by alveolar volume or Krogh coefficient
DIP	desquamative interstitial pneumonia	KS	Kaposi's sarcoma
D_{LCO}	diffusing capacity of the lungs for carbon monoxide	LA	left atrium, left atrial
D_{Lg}	diffusing capacity of the lungs for gas	LDH	lactate dehydrogenase
D_{LO₂}	diffusing capacity of the lungs for oxygen	LG	lymphomatoid granulomatosis
DRG	dorsal respiratory group	LIP	lymphocytic interstitial pneumonia
		LMWH	low-molecular-weight heparin
		LT	leukotriene, e.g. LTC ₄
		LV	left ventricle, left ventricular
		MBP	major basic protein

MDR	multidrug resistant	PPHN	persistent pulmonary hypertension of the newborn
MI	myocardial infarction	PSP	primary spontaneous pneumothorax
MIE	meconium ileus equivalent	R	respiratory gas exchange ratio
MMV	mandatory minute ventilation	RAD	right axis deviation (electrocardiography)
MOF	multiorgan failure	RANTES	regulated on activation normal T cell expressed and secreted
MRSA	methicillin-resistant <i>Staphylococcus aureus</i>	RAW	airway resistance (mouth–alveolar pressure/airflow)
MVV	maximal voluntary ventilation	RBBB	right bundle-branch block
NANC	non-adrenergic, non-cholinergic (nerves)	RBC	red blood cell
NHL	non-Hodgkin's lymphoma	REM	rapid eye movement
NIPPV	non-invasive positive pressure ventilation	RV	residual volume
NRDS	neonatal respiratory distress syndrome	RV	right ventricle
NREM	non-rapid eye movement	RVD	restrictive ventilatory defect
NSAID	non-steroidal anti-inflammatory drug	S_aO₂	oxygen saturation of arterial blood (%)
NSC	non-small cell	SC	small cell
NSIP	non-specific interstitial pneumonia	SCUBA	self-contained underwater breathing apparatus
OSA	obstructive sleep apnoea	SIADH	syndrome of inappropriate secretion of antidiuretic hormone
P₅₀	partial pressure at which haemoglobin is 50% saturated with O ₂	SIMV	synchronized intermittent mandatory ventilation
P_A	alveolar pressure	SLE	systemic lupus erythematosus
PA	posterior–anterior	S_O2	oxygen saturation (oxygen content/oxygen capacity)
PA	pulmonary arterial	SP	surfactant protein, e.g. SP-A
P_ACO	partial pressure of carbon monoxide in the alveoli	STPD	standard temperature and pressure dry
P_aCO₂	arterial partial pressure of carbon dioxide	SVC	superior vena cava
P_ACO₂	alveolar partial pressure of CO ₂	TB	tuberculosis
PAF	platelet-activating factor	TGFβ	transforming growth factor β
PAH	pulmonary arterial hypertension	TLC	total lung capacity
P_aO₂	partial pressure of oxygen in the arterial blood	T_LCO	carbon monoxide transfer factor (alternative name for <i>D_LCO</i>)
PCP	<i>Pneumocystis carinii</i> pneumonia	UFH	unfractionated heparin
PD₂₀FEV₁	provocative dose (e.g. of histamine or methacholine) that induces a 20% fall in FEV ₁	UIP	usual interstitial pneumonia
PDGF	platelet-derived growth factor	VAP	ventilator-associated pneumonia
PE	pulmonary embolus, pulmonary embolism	V_A/Q	ventilation–perfusion ratio (alveolar ventilation/blood flow in a lung region)
PEEP	positive end-expiratory pressure	VC	vital capacity
PEFR	peak expiratory flow rate	VEGF	vascular endothelial growth factor
PET	positron emission tomography	VIP	vasoactive intestinal peptide
Pg	prostaglandin, e.g. PgD ₂	ṠO₂max	maximum oxygen consumption
PH	pulmonary hypertension	VRG	ventral respiratory groups
pHa	arterial pH	V_T	tidal volume
pK_A	log of dissociation constant K _A	WBC	white blood cell
PMF	progressive massive fibrosis	WCC	white cell count
PMI	point of maximal impulse (also known as Apex beat)	WG	Wegener's granulomatosis
PPD	purified protein derivative		

1 Structure of the respiratory system: lungs, airways and dead space



Lungs

The respiratory system consists of a pair of **lungs** within the **thoracic cage** (Chapter 2). Its main function is gas exchange, but other roles include speech, filtration of microthrombi arriving from systemic veins and metabolic activities such as conversion of angiotensin I to angiotensin II and removal or deactivation of serotonin, bradykinin, norepinephrine, acetylcholine and drugs such as propranolol and chlorpromazine. The **right lung** is divided by **transverse** and **oblique fissures** into three lobes: upper, middle and lower. The **left lung** has an **oblique fissure** and two lobes (Fig. 1a). Vessels, nerves and lymphatics enter the lungs on their medial surfaces at the lung root or **hilum**. Each lobe is divided into a number of wedge-shaped **bronchopulmonary segments** with their apices at the hilum and bases at the lung surface. Each bronchopulmonary segment is supplied by its own segmental bronchus, artery and vein and can be removed surgically with little bleeding or air leakage from the remaining lung.

The **pulmonary nerve plexus** lies behind each hilum, receiving fibre from both **vagi** and the second to fourth thoracic **ganglia** of the **sympathetic trunk**. Each vagus contains sensory afferents from lungs and airways, parasympathetic bronchoconstrictor and secretomotor efferents, and non-cholinergic non-adrenergic nerves (NANC). Sympathetic noradrenergic fibre supplying airway smooth are sparse in humans, and the β_2 -adrenergic receptors are stimulated by circulating catecholamines from the adrenal glands (Chapter 7).

Each lung is lined by a thin membrane, the **visceral pleura**, which is continuous with the **parietal pleura**, lining the chest wall, diaphragm, pericardium and mediastinum. The space between the parietal and visceral layers is tiny in health and lubricated with pleural fluid. The right and left pleural cavities are separate and each extends as the **costodiaphragmatic recess** below the lungs even during full inspiration. The parietal pleura is segmentally innervated by **intercostal nerves** and by the **phrenic nerve**, and so pain from pleural inflammation (**pleurisy**) is often referred to the chest wall or shoulder-tip. The visceral pleura lacks sensory innervation.

Lymph channels are absent in alveolar walls, but accompany small blood vessels conveying lymph towards the hilar **bronchopulmonary nodes** and from there to **tracheobronchial nodes** at the tracheal bifurcation. Some lymph from the lower lobe drains to the **posterior mediastinal nodes**.

The **upper respiratory tract** consists of the nose, pharynx and larynx. The **lower respiratory tract** (Fig. 1b) starts with the trachea at the lower border of the **cricoid cartilage**, level with the sixth cervical vertebra (C6). It bifurcates into **right** and **left main bronchi** at the level of the **sternal angle** and T4/5 (lower when upright and in inspiration). The right main bronchus is wider, shorter and more vertical than the left, so inhaled foreign bodies enter it more easily.

Airways

The airways divide repeatedly, with each successive **generation** approximately doubling in number. The **trachea** and **main bronchi** have U-shaped cartilage, linked posteriorly by smooth muscle. Lobar bronchi supply the three right and two left lung lobes and divide to give **segmental bronchi** (generations 3 and 4). The total cross-sectional area of each generation is minimum here, after which it rises rapidly, as increased numbers more than make up for their reduced size. Generations 5–11 are small bronchi, the smallest being 1 mm

in diameter. The lobar, segmental and small bronchi are supported by irregular plates of cartilage, with bronchial smooth muscle forming helical bands. **Bronchioles** start at about generation 12 and from this point onwards cartilage is absent. These airways are embedded in lung tissue, which holds them open like tent guy ropes. The **terminal bronchioles** (generation 16) lead to **respiratory bronchioles**, the first generation to have alveoli (Chapter 5) in their walls. These lead to **alveolar ducts** and **alveolar sacs** (generation 23), whose walls are entirely composed of **alveoli**.

The bronchi and airways down to the terminal bronchioles receive nutrition from the **bronchial arteries** arising from the descending aorta. The respiratory bronchioles, alveolar ducts and sacs are supplied by the **pulmonary circulation** (Chapter 13).

The airways from trachea to respiratory bronchioles are lined with **ciliated columnar epithelial cells**. **Goblet cells** and **submucosal glands** secrete **mucus**. Synchronous beating of cilia moves the mucus and associated debris to the mouth (**mucociliary clearance**) (Chapters 18). Epithelial cells forming the walls of alveoli and alveolar ducts are unciliated, and largely very thin **type I alveolar pneumocytes** (alveolar cells, *squamous epithelium*). These form the gas exchange surface with the capillary endothelium (**alveolar–capillary membrane**). The **type II pneumocytes** make up only a small proportion of the alveolar surface area and are mostly found at the junction between alveoli. They are stem cells, which can divide following lung damage. They secrete **surfactant**, which reduces surface tension and has a role in lung immunity (Chapter 6 and 18). A similar substance is produced by the non-ciliated Clara cells found in the bronchiolar epithelium close to their junction with alveoli.

Dead space

The upper respiratory tract and airways as far as the terminal bronchioles do not take part in gas exchange. These **conducting airways** form the **anatomical dead space** whose volume (V_D) is normally about 150 mL. These airways have an air-conditioning function, warming, filtering and humidifying inspired air.

Alveoli that have lost their blood supply— for example because of a **pulmonary embolus**— no longer take part in gas exchange and form **alveolar dead space**. The sum of the anatomical and alveolar dead space is known as the **physiological dead space**, ventilation of which is wasted in terms of gas exchange. In health, all alveoli take part in gas exchange, so physiological dead space equals anatomical dead space.

The volume of a breath or **tidal volume** (V_T) is about 500 mL at rest. Resting **respiratory frequency** (f) is about 15 breaths/min, so the volume entering the lungs each minute, the **minute ventilation** (\dot{V}), is about 7500 mL/min ($= 500 \times 15$) at rest. **Alveolar ventilation** (\dot{V}_A) is the volume taking part in gas exchange each minute. At rest, with a dead-space volume of 150 mL, alveolar ventilation is about 5250 mL/min ($= (500 - 150) \times 15$).

The **Bohr method** for measuring anatomical dead space is based on the principle that the degree to which dead-space gas (0% CO_2) dilutes alveolar gas ($\sim 5\% \text{CO}_2$) to give mixed expired gas ($\sim 3.5\%$) depends on its volume (Fig. 1c). **Alveolar gas** can be sampled at the end of the breath as **end-tidal gas**. The Bohr equation can be modified to measure physiological dead space by using arterial P_{CO_2} to estimate the CO_2 in the gas-exchanging or **ideal alveoli**.