# Second Edition ORGANIC SYNTHESIS USING TRANSITION METALS

# **Roderick Bates**



# Organic Synthesis Using Transition Metals Second Edition

**RODERICK BATES** 

Division of Chemistry and Biological Chemistry, School of Physical and Mathematical Sciences, Nanyang Technological University, Singapore



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The cover shows the X-ray crystallographic structure of stemoamide, a *stemona* natural product, determined by Dr. Li Yongxin in the X-Ray Crystallography Laboratory of the Division of Chemistry and Biological Chemistry, Nanyang Technological University, Singapore. Syntheses of Steoamide can be found in chapter 4, scheme 44 and chapter 8, scheme 112.

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### **About the Author**

**Roderick Bates** received his PhD at Imperial College, London with Professor Steven Ley, using organoiron complexes for organic synthesis. After a postdoctoral stint at Colorado State University with Professor L. S. Hegedus working on chromium carbenes, he moved to the University of North Texas as an Assistant Professor and began independent research, working on palladium catalysed coupling reactions, organocobalt chemistry and applications of allenes. After some years spent in Thailand at Chulalongkorn University and the Chulabhorn Research Institute and a short stay in the ill-fated Department of Chemistry at Exeter, he joined Nanyang Technological University in Singapore as a pioneer member of the brand-new Division of Chemistry and Biological Chemistry. He is currently an Associate Professor and has research interests in the use of transition metals in natural product synthesis, and stereocontrol in alkaloid chemistry.

### Preface

The gradual realization that complexes of transition metals have a place in organic synthesis has caused a quiet revolution. Organic chemists have used certain transition metal substances, such as palladium on carbon and  $OsO_4$ , for many years. These kinds of reactions are not the subject of this book, as they appear in every standard text. The aim of this book is to provide an outline of the principle reactions of transition metal complexes that are used in organic synthesis, both catalytic and stoichiometric, with examples to show how they can be applied, and sufficient mechanistic information to allow them to be understood. The examples of syntheses are intended to place them in the context of the entire synthesis where space permits, so a great deal of non-transition metal chemistry can also be found in these pages. The molecular targets include natural products, novel structures and molecules of industrial, especially pharmaceutical, interest. The scale of the reaction for some of these molecules is indicated to show that these reactions are of more than just academic interest.

Tremendous progress has been made since the first edition of this work. The introduction of new ligands ("designer ligands") has hugely expanded the scope of coupling reactions and is starting to impact other areas, while the introduction of NHC ligands has opened new possibilities in reactions of many types, from coupling to metathesis. Ten years ago, this field of chemistry was dominated by palladium; now other metals, once neglected, have become firmly established. In particular, the organic chemistry of gold has become a major area. Metathesis chemistry has gone from strength to strength. An old but also once neglected area, the activation of C-H bonds by transition metals, has achieved huge prominence and has earned itself its own chapter. Two more general trends have emerged. One is that the emphasis on catalytic reactions, rather than stoichiometric reactions has increased. While it is undeniable that catalytic reactions are the ones that will be used in industry, the stoichiometric chemistry of transition metal complexes can still provide transformations that are both elegant and interesting and, hence, retain their place. The other is the much greater acceptance of transition metal mediated reactions in the mainstream of organic synthesis. In the first edition, most syntheses might feature a single such transformation; it is now increasingly common for syntheses to include multiple, different transition metal mediated reactions. The different aspects of such syntheses can be found in various chapters of this text.

Roderick Bates January 2012

# 1 Introduction

At irregular intervals, it is announced that organic synthesis is dead, that it is a completed science, that all possible molecules can be made by the application of existing methodology, and that there are no new reactions or methods to discover – everything worth doing has been done. And yet new molecular structures come up to challenge the imagination, most often from nature, and new challenges arise from the demands of society and industry, usually to be more selective, to be more efficient and to be more green. The tremendous progress that has been made in the last few decades, including the hectic period since the first edition of this work appeared, is more than ample to prove the prophecies of doom to be wrong. The art and science of organic synthesis continues to make progress as the new challenges are met. While much of the limelight has been taken up by the expansion of the once small and neglected field of asymmetric organocatalysis, huge progress has also been made in the list of Nobel prizes for chemistry (even if not all of the laureates had intended to contribute to organic synthesis): Sabatier, shared with Grignard (1912), Ziegler<sup>1</sup> and Natta<sup>2</sup> (1963), Wilkinson<sup>3</sup> and Fischer<sup>4</sup> (1973), Sharpless,<sup>5</sup> Noyori<sup>6</sup> and Knowles<sup>7</sup> (2001), Grubbs, Schrock<sup>8</sup> and Chauvin<sup>9</sup> (2005) and, most recently, Heck, Negishi<sup>10</sup> and Suzuki<sup>11</sup> (2010).

Advances in the area have not been uniform. With the challenge of greenness, atom economy and sustainability, the most progress has been made in the area of catalysis.<sup>12</sup> Progress in the use of stoichiometric transition-metal reagents and with transition-metal complex intermediates has lagged, while progress in catalysis has surged ahead. Four areas of transition-metal chemistry have been at the forefront of recent progress. One is the tremendous advances and applications made in the area of alkene metathesis chemistry and its spin-off fields. What was once a mainstay of the petrochemical industry, but a curiosity to synthetic organic chemistry has become a standard method for carbon-carbon bond formation. New metathesis catalysts continue to open up new possibilities. The second, not unrelated, area is the development of new ligands. At one time, except for asymmetric catalysis, triphenylphosphine was the option as a ligand, with a small number of variants available. Driven by the demand for greater efficiency and wider substrate scope, a myriad of complex ligands is now available. While their initial impact was upon coupling reactions, their influence is spreading to other areas. The emergence of the N-heterocyclic carbene ligands has provided a second stimulus in this area and opened up further opportunities. In addition to more ligands, a greater number of the transition metals are finding applications in organic synthesis. While palladium probably remains the most widely used metal, its "market share" has shrunk, with the increasing use other metals. Most notable is the glittering rise of gold and gold catalysis. The final area had been present in the literature for decades but only took off recently.

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This is the area of C–H activation, based upon the realization that C–H bonds are not passive spectators, but, with the ability of transition metals to insert into them under mild conditions, are potent functional groups.

This is an area of science that is very much alive and moving forwards. Transition-metal chemistry is not only used for academic purposes, but also in the fine chemicals industry. The reader will find references to these real-life applications in the appropriate chapters.

#### 1.1 The Basics

Why? What is special about the transition metals and the chemistry that we can do using them? What makes metals such as palladium, iron and nickel different from metals such as sodium, magnesium and lithium? The answer lies in the availability of d-orbitals, filled or empty, that have energy suitable for interaction with a wide variety of functional groups of organic compounds. In an important example, transition metals can interact with alkenes. In ordinary organic chemistry, simple alkenes are relatively unreactive, being ignored by almost all bases and nucleophiles, requiring a reactive radical or a strong electrophile or oxidizing agent, such as bromine, ozone or osmium tetroxide (watch out – osmium is a transition metal!). But they coordinate to transition metals and their reactivity changes. An important molecule that has almost no "ordinary" organic chemistry is CO. It is ignored by metal ions such as  $Na^+$  and  $Mg^{2+}$ , but forms complexes with almost all transition metals and is ubiquitous in transition-metal chemistry. The reactions of CO, catalysed by transition metals, has made it a fundamental  $C_1$  building block for both complex molecules and bulk chemicals.

Organometallic chemistry begins with the work of Frankland in the 1840s who made the first organozinc compounds. Grignard's work with organomagnesium compounds rapidly became part of the standard repertoire of organic chemists, and remains there today. The pathway for transition metals was not so smooth and took much longer. Indeed, it followed two tracks. One track was in industry, where the understandable objective is a profitable process even if there is no understanding of what is happening in the mechanistic "black box". This track produced alkene metathesis and hydroformylation. The other track was in academia, restrained by the need to understand. Alongside the isolation of then unexplainable complexes, such as an ethylene complex of platinum by Danish apothecary Zeise,<sup>13</sup> one of the starting points is with Ludwig Mond in the late nineteenth century.<sup>14</sup> He serendipitously discovered Ni(CO)<sub>4</sub> - an amazing compound in that it is a gas under normal conditions, yet is made from so-solid metallic nickel. In terms of using transition metals for synthetic chemistry, a great advance was by Sabatier at the end of the nineteenth century who showed that finely divided metals such as nickel, palladium or platinum could catalyse the hydrogenation of alkenes. This discovery rapidly led to the manufacture of margarine, for instance. A real turning point was with the determination of the structure of ferrocene by Wilkinson – many decades after Mond. This gave chemists a stable organometallic compound to study and understand. Aided by advances in instrumentation, it was in this period that chemists were able to study organotransition-metal complexes thoroughly and understand the ground rules of their reactivity.

Thus, the use of transition metals enables the organic chemist to do reactions that are difficult or, more often, impossible otherwise, opening up new synthetic pathways and selectivities. Transition-metal organometallics do this through a different set of rules. To understand what is done and what can be done, it is important to be familiar with these rules.

#### **1.2 The Basic Structural Types**

While some of the structures found look similar to those formed by s-block and p-block metals, many do not. Many organometallic complexes are classified by the number of contiguous atoms, usually carbon atoms, but



**Figure 1.1**  $\eta^1$ -Complexes.



**Figure 1.2**  $\eta^2$ -Alkene complex.

not always, bound to the metal. This number is known as the hapticity or hapto number. As this is symbolized as a superscript with the Greek letter "eta",  $\eta$ , it is sometimes called the eta number.

 $\eta^{l}$ -Complexes contain a metal–carbon single bond (Figure 1.1). The organic group may be alkyl, vinyl, alkynyl, aryl or acyl. With the exception of the acyl complexes, there are analogous compounds of more familiar metals, such as magnesium and zinc. It is also possible to have complexes with metal–carbon double and triple bonds; these are known as carbenes and carbines. Cumulenes are also known, such as in vinylidene complexes.

 $\eta^2$ -Complexes do not have analogues amongst the main group metals. They are formed by the interaction of the metal with the  $\pi$ -orbitals of alkenes and alkynes (Figure 1.2). They may also be drawn as their metallacyclopropane resonance structures, although this representation is less frequently used. The first such complex, isolated in the early nineteenth century, is the platinum-ethylene complex known as Ziese's salt (Figure 1.3).<sup>15</sup>

The reason for the ability of transition metals to bind to alkenes (and alkynes) lies in the fact that electrons can be donated in both directions, resulting in a synergistic effect (Figure 1.4). The  $\pi^*$ -orbital of the alkene can accept electrons from filled d-orbitals on the metal, while the filled  $\pi$ -orbital of the alkene can donate back to empty metal orbitals. This is known as the Chatt—Dewar—Duncanson model.<sup>16</sup>

 $\eta^3$ -Allyl complexes, also known as  $\pi$ -allyl complexes, have three atoms bonded to the metal (Figure 1.5). They are frequently in equilibrium with the corresponding  $\eta^1$ -allyl complex.



*Figure 1.3* Zeise's salt. Reprinted with permission from Love, R. A.; Koetzle, T. F. et al. Inorg. Chem. **1975**, 14, 2653. © 1975 American Chemical Society.



Figure 1.4 The Chatt–Dewar–Duncanson model.



**Figure 1.5** The  $\eta^3 - \eta^1$  equilibrium in allyl complexes.



**Figure 1.6**  $\eta^4$ ,  $\eta^5$  and  $\eta^6$ -complexes.

 $\eta^4$ -diene,  $\eta^5$ -dienyl and  $\eta^6$ -arene complexes have four, five or six atoms bonded to the metal (Figure 1.6). The chemistry of these complexes is explored in Chapter 10. Amongst the  $\eta^5$ -dienyl complexes, the best known is the  $\eta^5$ -cyclopentadienyl ligand. Such is its ubiquity, that it has its own symbol: Cp. The best known of the cyclopentadienyl compounds is ferrocene (Cp<sub>2</sub>Fe) with two Cp rings, the original sandwich compound. The permethyl derivative, pentamethylcyclopentadienyl, is known as Cp<sup>\*</sup>. The most important class of  $\eta^6$ -complexes by far is the  $\eta^6$ -arene complexes in which a metal is coordinated to the face of a benzene derivative through the  $\pi$ -system.  $\eta^7$ -Complexes are unusual in synthesis: an example may be found in Chapter 11. In all of these complexes, the carbon atoms are coplanar, with the metal occupying one face.

A ligand of special importance is carbon monoxide. The reactivity of CO is a key difference between transition-metal chemistry and classical organic chemistry. Several of the transition metals, such as Mond's nickel, can even form complexes with only CO. The HOMO of CO is its  $\sigma^*$ -orbital, concentrated on the carbon atom, hence CO is most commonly bonded to the metal via its carbon atom. Backbonding then occurs with electron donation from metal d-orbitals into the LUMO of carbon monoxide which is the  $\pi^*$ -orbital (Figure 1.7). This is the case for the simple metal carbonyls including Ni(CO)<sub>4</sub>, Fe(CO)<sub>5</sub> and Cr(CO)<sub>6</sub>.

M <del>G −</del> C = O

electron donation from filled metal d-orbital to CO  $\pi^*$  orbital

electron donation from CO  $\sigma^*$  orbital metal empty metal orbital



Figure 1.7 Carbonyl complexes.

Carbon monoxide may also be a bridging ligand between two metal atoms. Some of the CO ligands in the complexes  $Fe_2(CO)_9$ ,  $Co_2(CO)_8$  and  $Fe_3(CO)_{12}$  can behave in this way.

Heteroatoms may also be ligands. These include oxygen, nitrogen, sulfur and halogen atoms. Some of these, such as oxygen, may form double bonds to the metal, as in  $OsO_4$ . A variety of nitrogen species may complex to the metal including the rather special case of the nitrosyl ligand,  $NO^+$ , which can replace CO.

#### 1.2.1 Phosphines

The most widely employed heteroatom ligands are the phosphines. Although they are largely spectators and do not participate directly in bond formation (and when they do, the result is often highly undesirable), they are not innocent bystanders. The size and electronic nature of the three groups attached to phosphorus have a profound effect on the course of the reaction and may make the difference between success and failure. An example is with the Grubbs catalyst (Chapter 8). The bis(triphenylphosphine) complex is of little use. The bis(tricyclohexylphosphine) complex is Nobel-prize winning.

Triphenylphosphine **1.1** has always been the most commonly used ligand, due to cost, availability, ease of handling and habit. While triphenylphosphine **1.1** remains commonly used, it no longer has its old ubiquity. An entire field of research, which might be termed "ligand engineering", has grown up, centred on the design of new ligands with tailor-made electronic and steric properties (Figure 1.8). In a great many of the early applications of transition metals to organic synthesis, triphenylphosphine **1.2**, in Heck reactions.<sup>17</sup> This was done to suppress quaternization of the phosphine by adding steric hindrance, though its success may actually be due to formation of Herrmann's catalyst *in situ*.<sup>18</sup> Addition of one or more sulfonate groups to the phenyl rings gives water-soluble analogues, such as **1.3**. Triphenylphosphine has also been modified by changing the donor atom. Both triphenylarsine **1.4** and triphenylstibine **1.5** have been employed. Changing the phenyl groups to furyl groups giving the more electron-rich tri-(2-furyl)phosphine **1.6** can also be beneficial. Alternatively, adding fluorine atoms gives an electron-poor ligand in tris(pentafluorophenyl)phosphine **1.7**. One or more of



*Figure 1.8 Phosphine ligands.* 

the aryl groups attached to phosphorus may be changed to alkyl groups. Tri(cyclohexyl)phosphine **1.8** has found considerable application from being both more electron rich and more bulky than its aromatic analogue, triphenylphosphine. The related tricyclopentylphosphine is also known. Acyclic alkyl groups have also been used. Tri-*n*-butylphosphine **1.9** is readily available and used in organic procedures, such as Staudinger reactions and Wittig reactions, but is relatively uncommon as a ligand. In contrast, tri-*t*-butylphosphine **1.10**, has proved to be valuable. Its bulk promotes ligand dissociation and, hence, catalytic reactivity. As you can have too much of a good thing, the less-hindered di(*t*-butyl)methylphosphine **1.11** is also available. The neopentyl group and binaphthyl groups has also been used to replace one of the *t*-butyl groups. The binaphthyl modification **1.13** is known as Trixiephos. A disadvantage of using alkyl phosphines is their air sensitivity. All phosphines can be oxidized to the corresponding phosphine oxides, but this tendency is more pronounced with alkyl phosphines. A solution is to store and handle them as a salt, such as the tetrafluoroborate salt.<sup>19</sup> If a small amount of a base is added to the reaction mixture, and many reaction mixtures already contain a base, then the phosphine is liberated *in situ*.

The focus of development of more sophisticated ligands has mainly been concerned with replacing one of the groups on phosphorus with a biphenyl group (Figure 1.9). Johnphos **1.14** and its dicyclohexyl analogue **1.15** contain the unadorned biphenyl moiety. Addition of *ortho*-substituents to the second phenyl group changes the steric and electronic properties, as in Sphos **1.16** and the closely related Ruphos **1.17**, both with alkoxy substituents. Mephos **1.18** and Xphos **1.19** have different alkyl substituents. Davephos **1.20** and its *t*-butyl analogue **1.21** possess a potentially chelating amino group. More highly substituted ligands, such as Brettphos **1.22** and Jackiephos **1.23**, have also been developed. Qphos **1.24**, with a highly substituted ferrocene moiety, can also be considered in this class of ligands.

The popularity of the biphenyl moiety in many ligands is not a mere result of adding bulk. The second aryl ring, twisted at an angle to its partner, may affect the metal directly by coordination, as in the cationic gold complex (Figure 1.10).<sup>20</sup> The X-ray structure (anionic counter ion not shown) clearly shows the proximity of the second ring to the metal atom.

![](_page_23_Figure_4.jpeg)

Figure 1.9 Phosphine ligands with a biphenyl motif.

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![](_page_24_Figure_1.jpeg)

*Figure 1.10* A gold(I) biphenylphosphine complex. Reprinted with permission from Herrero-Gómez, E.; Nieto-Oberhuber, C. et al. Angew. Chem., Int. Ed. 2006, 45, 5455. © 2006 Wiley-VCH Verlag GmbH & Co. KGaA.

Bidentate phosphines have been used for many years (Figure 1.11). They provide the complex with greater stability because, for complete ligand dissociation, two metal–phosphine bonds must be broken, rather than one. Simple bidentate ligands consist of two diphenylphosphino units linked by an alkyl chain or group (**1.26–1.30**). More complex ligands use more elaborate linkers. Bis(diphenylphosphino)ferrocene, with a ferrocenyl linker, has proved to be a useful ligand. Most other linkers are based upon aromatic motifs. BINAP **1.33**, most often employed as a chiral ligand for asymmetric catalysis, has sometimes been used. Xantphos **1.34** and DPEphos **1.35** form a special subset of bidentate ligands. In square planar complexes, such as complexes with palladium(II), due to the geometrical demands of the linker, the two phosphines are capable of being *trans*.<sup>21</sup> The other bidentate ligands tend to be *cis*.

![](_page_24_Figure_4.jpeg)

Figure 1.11 Bidentate phosphines.

![](_page_25_Figure_1.jpeg)

Figure 1.12 Chiral phosphine ligands.

The list given above is just a small selection of the ligands reported, and a tiny selection of the ligands that are possible.

The use of chiral phosphines has been the principle way to achieve asymmetric reactions in organometallic chemistry. A small selection of the huge number of chiral phosphines reported so far is presented in Figure 1.12. While chiral monodentate species have been used, most of the ligands are bidentate. Their designs can be divided into three groups. One group has the chirality present in the chain that links the two phosphorus atoms. Many of these are axially chiral. The two enantiomers of BINAP, **1.36/1.37**, are the first in this group, and many derivatives and modifications of BINAP have been reported. Others, such as chiraphos **1.40** and skewphos **1.41** have stereogenic carbon atoms in the chain. A second group, represented here by Me-DUPHOS **1.42** has the chirality in the phosphorus substituents, rather than the chain. A third and rarer group exploits the chirality of the phosphorus atom. DIPAMP **1.43**, the first effective ligand for asymmetric hydrogenation, is in this group. Applications of asymmetric catalysis are included in several chapters. For a deeper discussion, the reader is referred to more specialized textbooks.<sup>22</sup>

#### 1.2.2 Phosphites

Phosphites are closely related to phosphines, but have P–O bonds in place of P–C bonds (Figure 1.13). While they have been found to be useful ligands in certain reactions (see Section 4.4 and Sections 11.1.1 and 11.2.2), they have not been subject to the same widespread use or development as phosphines.

![](_page_25_Figure_7.jpeg)

Figure 1.13 Phosphites.

#### 1.2.3 N-Heterocyclic Carbenes

Carbene complexes have been known since the 1960s. Their chemistry revolves around the reactions of the carbene moiety (Chapter 8). The isolation of the first stable carbene by Arduengo,<sup>23</sup> and the realization that such carbenes could function as useful ligands for transition metals, in a similar way to phosphines, opened up a new chapter in organometallic chemistry.<sup>24,25</sup> Arduengo's first stable carbenes were formed by the deprotonation of imidazolium salts (Schemes 1.1 and 1.2). The carbene carbon is built into a stabilizing nitrogen heterocycle. The stabilization is principally electronic, by the two nitrogen atoms. The *N*-substituents provide steric stabilization that is not, however, essential.<sup>26</sup> They are, therefore, referred to as *N*-heterocyclic carbenes or NHCs. The many NHC ligands that have followed have largely been variations on Arduengo's original (Figure 1.14). The *N*-mesityl, rather than *N*-admantyl, has been commonly used, although families of *N*-alkyl carbenes have been produced. The double bond in the *N*-heterocycle may be absent, as in the Grubbs second-generation catalyst (Chapter 8). The heterocycle may also be varied, as in TPT **1.50**. Numerous more complex carbenes, including chelating bis-carbenes, have also been synthesized.

![](_page_26_Figure_3.jpeg)

Scheme 1.1

![](_page_26_Figure_5.jpeg)

Scheme 1.2

![](_page_26_Figure_7.jpeg)

Figure 1.14 N-heterocyclic carbene (NHC) ligands.

|       | Ligand                  | Cone angle, $\theta$ |
|-------|-------------------------|----------------------|
|       | PH <sub>3</sub>         | 87°                  |
|       | P(OEt) <sub>3</sub>     | 109°                 |
| R     | PPhMe <sub>2</sub>      | 122°                 |
| M     | Pn-Bu <sub>3</sub>      | 132°                 |
| `.(R) | PPh <sub>3</sub>        | 145°                 |
|       | PCy <sub>3</sub>        | 170°                 |
|       | Pt-Bu <sub>3</sub>      | 182°                 |
|       | P(mesityl) <sub>3</sub> | 212°                 |

#### Table 1.1Cone angles

#### 1.2.4 Other Ligands

Many other species have been employed as ligands, including amines and nitrogen heterocycles, sulfides and sulfoxides, halides, alkoxides and nitriles. Dienes, such as 1,5-cyclooctadiene, are commonly used as ligands.

#### 1.2.5 Quantifying Ligand Effects

The two principle effects of the ligand are electronic and steric.<sup>27</sup> The concept of cone angle is used to describe the size of a ligand (Table 1.1). It is the angle of a cone that has its point at the metal and just contains the phosphine ligand. As this angle will vary depending on the metal–ligand bond length, the standard is taken as the nickel tricarbonyl derivative,  $(OC)_3NiL$ .

Is cone angle still adequate to describe the increasingly complex phosphine ligands, and the new NHC ligands that are far from cone shaped? New quantifiers are being proposed.<sup>28</sup>

#### 1.2.6 Heterogeneous Catalysis

The vast majority of the transition-metal catalysed reactions in this book use transition-metal species that are soluble in the reaction medium. These are often well-defined and characterized complexes. It does not have to be this way. Sources of transition metals that are insoluble in the reaction medium, especially heterogeneous sources of palladium, can be very effective. Palladium on inert supports, such as carbon, has been employed for many decades for hydrogenation reactions. They can also be employed for carbon–carbon bond-forming reactions.<sup>29</sup> Other heterogeneous sources, such as perovskites, which are better known as components of car exhaust systems, have also been used. Catalysts of this type may act as sources of palladium, releasing palladium as complexes or nanoparticles into the reaction medium, then reclaiming it.<sup>30</sup> Often, these systems leave less residual metal contamination in the final product and, therefore, are particularly useful industrially.

#### 1.3 Just How Many Ligands Can Fit around a Metal Atom?

This is a fairly easy question to answer. If we think about elements such as carbon, nitrogen and oxygen, we know that their valency can be explained by the importance of filling the outer valence

shell with eight electrons and obtaining an inert-gas configuration. As they have to fill up an s orbital and three p orbitals, this means acquiring eight electrons, including the electrons that they already possess.

Transition metals have to fill an s orbital, three p orbitals and five d orbitals. This requires eighteen electrons. This is the eighteen-electron rule. These electrons must either belong to the metal atom already or must be supplied by the ligand. We must also adjust for the charge.

There are two methods for adding up electrons, both are based on counting the electrons contributed to the complex from the metal and the ligands. The methods have been referred to as the "covalent" and "ionic" methods as they differ in the notional origin of the electrons.<sup>31</sup> It has to be clearly understood that this is the *notional* origin, not the actual origin. A hydride ligand is assigned as bringing 1 or 2 electrons to the complex respectively, whether its actual origin was from LiAlH<sub>4</sub>, H<sub>2</sub> or HCl. The same answer is obtained whichever method is used. The important thing is to not get the two methods mixed up! Examples of both methods are given in Figures 1.15-1.18.

#### 1.3.1 Method 1: Covalent

*Electrons from the metal:* This is equal to its group number. Just count from the far left-hand column (group 1) of the periodic table (Table 1.2).

*Electrons from the ligands:* this depends, naturally on the ligands. For hydrocarbon ligands, the number is equal to the hapto number. Single-bonded ligands (hydride, halide etc) count as 1 (although a bridging halide counts as 2 - a lone-pair donor), while carbones and carbynes count as 2 and 3, respectively. Lone-pair donors, such as phosphines and CO, count as 2.

*Charge*: electrons have a negative charge. A positive charge on your complex means a missing electron, so subtract one. A negative charge means an extra electron, so add one.

#### 1.3.2 Method 2: Ionic

*Electrons from the metal:* first, the oxidation state of the metal must be assigned. Oxidation state is a formalism, but a useful formalism. The assignment can be done by the notional stripping off of ligands to reveal a notional metal ion. Ligands that are donors of pairs of electrons, or multiple pairs of electrons are removed with their pair(s) of electrons and do not effect the charge of the metal. Examples include alkenes, dienes and arenes (all of which have an *even* hapto number), CO, phosphines and carbenes. Ligands with a sigma bond are stripped off as anions even if this makes no chemical sense. Examples are alkyl, allyl, dienyl and even acyl ligands (all of which have an *odd* hapto number), hydride, halide and carbynes. The number of electrons contributed by the metal is then its group number (count from the far left-hand column (group 1) of the periodic table) minus the oxidation state. This is also the number of d electrons,  $d^x$ . This number is useful for comparing metals with different oxidation states across groups of the periodic table.

 Table 1.2
 Transition metals and numbers of electrons

| 3  | 4  | 5  | 6  | 7  | 8  | 9  | 10 | 11 |
|----|----|----|----|----|----|----|----|----|
| Sc | Ti | V  | Cr | Mn | Fe | Co | Ni | Cu |
| Y  | Zr | Nb | Mo | Tc | Ru | Rh | Pd | Ag |
| La | Hf | Ta | W  | Re | Os | Ir | Pt | Au |

*Electrons from the ligands:* The number of electrons supplied by a ligand is related to how the ligand was notionally stripped off above. Hydrocarbon ligands with even hapto numbers were stripped off as neutral molecules, so the number of electrons donated is equal to their hapto number. Hydrocarbon ligands with odd hapto numbers were stripped off as anions, so the number of electrons donated is equal to their hapto number plus one. Thus, an allyl group is a donor of four electrons. Lone-pair donors donate two electrons; sigma-bonded ligands stripped off as anions also donate two electrons.

*Charge*: The assignment of the oxidation state has already taken the charge into account, so there is no further adjustment.

#### 1.3.3 Examples

The rule is often broken.  $d^8$ -Complexes of metals towards the right-hand side of the d-block often form stable square-planar complexes, such as  $(Ph_3P)_2PdCl_2$ . Bulky ligands may prevent a complex reaching 18 electrons: palladium forms an eighteen-electron complex with triphenylphosphine to give the popular catalyst  $(Ph_3P)_4Pd$ , but only a fourteen-electron complex with the bulkier tri(t-butyl)phosphine,  $(t-Bu_3P)_2Pd$ . Complexes with fewer than 18 electrons are not impossible; it is just that they tend to be less stable. What is important to remember is that stable complexes are unreactive. To get them to participate in chemistry, it is usually first necessary to get them away from their stable state (meaning, in most cases, 18 electrons) by forcing them to dissociate a ligand.

| Example 1: Cp(Ph <sub>3</sub> P)CoMe <sub>2</sub>  |          |                            |    |  |  |
|--|----------|----------------------------|----|--|--|
| $\begin{array}{c} Cp \\ Co^{-Me} \\ Co^{-Me} \\ Ph_{3}P' \end{array}  Cp^{-} + Ph_{3}P + Co^{3+} + 2 Me^{-} \end{array}$ |          |                            |    |  |  |
|  | Oxidatio | n state = +3               |    |  |  |
| Method   | 1        | Method 2                   |    |  |  |
| Ligands: Cp =  | 5        | Ligands: Cp <sup>-</sup> = | 6  |  |  |
| Ph <sub>3</sub> P =  | 2        | Ph3P =                     | 2  |  |  |
| Me = 2 x 1 =   | 2        | Me <sup>-</sup> = 2 x 2 =  | 4  |  |  |
| Metal: Co =  | 9        | Metal: $Co(+3) =$          | 6  |  |  |
| Charge = 0   |          |                            |    |  |  |
| Total =  | 18       | Total =                    | 18 |  |  |

Figure 1.15

| Example 2:   |             |                                       |    |  |
|--|-------------|---------------------------------------|----|--|
| $(\bigcirc \bigcirc $ |             |                                       |    |  |
|  | Oxidation : | state = $+1$                          |    |  |
| Method 1   |             | Method 2                              |    |  |
| Ligands: $4 \times CO = 4 \times 2 =$  | 8           | Ligands: $4 \times CO = 4 \times 2 =$ | 8  |  |
| ketone lone pair =   | 2           | ketone lone pair =                    | 2  |  |
| $\eta^{1}$ -aryl =   | 1           | $\eta^{1}$ -aryl =                    | 2  |  |
| Metal: Mn =  | 7           | Metal: Mn(+1) =                       | 6  |  |
| Charge =   | 0           |                                       |    |  |
| Total =  | 18          | Total =                               | 18 |  |

Figure 1.16